30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

* * * * * * * * * * * C O N F I R M A T I O N * * * * * * * * * * *

THE FOLLOWING TRADES HAVE BEEN MADE THIS DAY FOR YOUR ACCOUNT AND RISK.

EM

| | | BUY | | CONTRACT DESCRIPTION | | TRAD PRIC CC | |
|---------|----|-----|----|--|----|--------------|--|
| 10/30/9 | F1 | 3 | | DEC 09 CBT WHEAT | 01 | 4.88 1/2 US | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT WHEAT | 01 | 4.89 US | |
| 10/30/9 | F1 | 15 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.89 1/4 US | |
| 10/30/9 | F1 | 11 | | DEC 09 CBT WHEAT | | 4.89 1/2 US | |
| 10/30/9 | F1 | | 18 | E-CBOT TRADE
DEC 09 CBT WHEAT
E-CBOT TRADE | 01 | 4.89 1/2 US | |
| 10/30/9 | F1 | 12 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.89 3/4 US | |
| 10/30/9 | F1 | | 2 | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.89 3/4 US | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.90 1/4 US | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT WHEAT | 01 | 4.90 1/2 US | |
| 10/30/9 | F1 | | 7 | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.90 3/4 US | |
| 10/30/9 | F1 | 10 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 US | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 US | |
| 10/30/9 | F1 | 2 | | DEC OO CDT WILEAT | 01 | 4.91 1/4 US | |
| 10/30/9 | F1 | | 41 | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 1/4 US | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT WHEAT | | 4.91 1/2 US | |
| 10/30/9 | F1 | | 60 | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 1/2 US | |
| 10/30/9 | F1 | 21 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 3/4 US | |
| | F1 | | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 3/4 US | |
| 10/30/9 | F1 | 6 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.92 US | |
| 10/30/9 | F1 | | 4 | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.92 US | |
| 10/30/9 | F1 | 15 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.92 1/4 US | |
| 10/30/9 | F1 | 52 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.92 1/2 US | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.93 US | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.93 1/4 US | |
| 10/30/9 | F1 | 7 | | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.93 1/2 US | |

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SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

| TRADE SETL | AT | BUY | SELL | CONTRACT DESCRIPTION | EX | TRAD PRIC CC | DEBIT/CREDIT |
|------------|----------|-----|------|--|----|------------------------|--------------|
| 10/30/9 | | | 24 | DEC 09 CBT WHEAT
E-CBOT TRADE | | | |
| 10/30/9 | F1 | 3 | | DEC 09 CBT WHEAT
E-CBOT TRADE | 01 | 4.93 3/4 US | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT
E-CBOT TRADE | 01 | 4.93 3/4 US | |
| 10/30/9 | F1 | 3 | | E-CBOT TRADE | 01 | 4.94 US | |
| 10/30/9 | F1 | | 15 | DEC 09 CBT WHEAT
E-CBOT TRADE | 01 | 4.94 US | |
| 10/30/9 | F1 | 28 | | DEC 09 CBT WHEAT
E-CBOT TRADE | 01 | 4.94 1/4 US | |
| | F1 | 5 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 4.94 1/2 US | |
| | F1 | 11 | | E-CBOT TRADE | 01 | 4.95 1/4 US | |
| | F1 | | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 4.95 1/4 US | |
| .,,. | F1 | 29 | | E-CBOT TRADE | 01 | 4.95 1/2 US | |
| | F1 | | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 4.95 1/2 US | |
| .,,. | F1 | 8 | | DEC 09 CBT WHEAT E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.95 3/4 US | |
| | F1 | 10 | | E-CBOT TRADE | | 4.95 3/4 US | |
| | F1 | 10 | | E-CBOT TRADE | 01 | | |
| | F1
F1 | 37 | | DEC 09 CBT WHEAT
E-CBOT TRADE
DEC 09 CBT WHEAT | 01 | 4.96 US
4.96 1/4 US | |
| | F1 | 37 | | E-CBOT TRADE
DEC 09 CBT WHEAT | | 4.96 1/4 US | |
| | F1 | 23 | | E-CBOT TRADE | 01 | 4.96 1/2 US | |
| | F1 | 22 | | E-CBOT TRADE | 01 | 4.96 3/4 US | |
| | F1 | 72 | | E-CBOT TRADE | 01 | 4.97 US | |
| 10/30/9 | F1 | | 49 | E-CBOT TRADE
DEC 09 CBT WHEAT | 01 | 4.97 US | |
| 10/30/9 | F1 | 5 | | E-CBOT TRADE
DEC 09 CBT WHEAT | 01 | 4.97 1/4 US | |
| 10/30/9 | F1 | 2 | | | 01 | 4.97 1/2 US | |
| 10/30/9 | F1 | | 2 | | 01 | 4.97 1/2 US | |
| 10/30/9 | F1 | 17 | | | 01 | 4.97 3/4 US | |
| 10/30/9 | F1 | 2 | | | 01 | 4.98 1/4 US | |
| 10/30/9 | F1 | 5 | | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.99 1/4 US | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.99 1/4 US | |

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SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

NEW YORK NY 10013 ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

| | | | | CONTRACT DESCRIPTIO | | | | | DEBIT/CREDIT |
|--------------------|----------|------|------|--|-------|-----|----------------------|-----|--------------|
| | | 3 | | | | | | US | |
| 10/30/9 | F1 | | 2 | DEC 09 CBT WHEAT | | 01 | 5.00 | US | |
| 10/30/9 | F1 | | 2 | | | 01 | 5.00 1/4 | US | |
| 10/30/9 | F1 | | 2 | E-CBOT TRADE DEC 09 CBT WHEAT | | 01 | 5.00 1/2 | US | |
| 10/30/9 | F1 | 7 | | E-CBOT TRADE
DEC 09 CBT WHEAT | | 01 | 5.00 3/4 | US | |
| 10/30/9 | F1 | 3 | | E-CBOT TRADE DEC 09 CBT WHEAT | | 01 | 5.02 | US | |
| 10/30/9 | F1 | | 11 | E-CBOT TRADE DEC 09 CBT WHEAT | | 01 | 5.03 1/2 | US | |
| 10/30/9 | F1 | | 1 | E-CBOT TRADE DEC 09 CBT WHEAT | | 01 | 5.05 | US | |
| 10/30/9 | F1 | | 4 | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | | 01 | 5.08 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.08 3/4 | US | |
| 10/30/9 | F1 | | 7 | DEC 09 CBT WHEAT E-CBOT TRADE | | 01 | 5.09 | US | |
| | F1 | 470* | 475* | | | | COMMISSION | IIS | 283.50DR |
| | F1 | 170 | 1.0 | AVERAGE LONG: | 4.945 | CLE | CARING FEES | US | 56.70DR |
| | F1 | | | AVERAGE SHORT: | 4.936 | EXC | CHANGE FEES | US | 708.75DR |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | | 80 | 4.96 | US | |
| 10/30/9 | F1 | | 2 | DEC 09 KC WHEAT | | 08 | 4.96 1/4 | US | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 80 | 4.96 1/2 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 80 | 4.96 3/4 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 4.97 | US | |
| 10/30/9
10/30/9 | F1
F1 | | | DEC 09 KC WHEAT
DEC 09 KC WHEAT | | 08 | 4.97 1/4
4.98 | US | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 4.98 1/4 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 4.98 1/2 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 4.98 3/4 | | |
| 10/30/9 | F1 | 4 | | DEC 09 KC WHEAT | | 08 | 4.99 | US | |
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | | 08 | 4.99 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 KC WHEAT | | 80 | 4.99 1/4 | US | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 80 | 4.99 1/2 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 80 | 4.99 3/4 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 80 | 5.00 | US | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 5.00 1/4 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 5.00 1/2 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 5.00 3/4 | | |
| 10/30/9 | F1 | • | 1 | DEC 09 KC WHEAT | | 08 | 5.01 1/2 | | |
| 10/30/9
10/30/9 | F1
F1 | 2 | _ | DEC 09 KC WHEAT
DEC 09 KC WHEAT | | 08 | 5.01 3/4
5.01 3/4 | | |
| 10/30/9 | F1 | 2 | 5 | DEC 09 KC WHEAT | | 00 | 5.01 3/4 | US | |
| 10/30/9 | F1 | 2 | Λ | DEC 09 KC WHEAT | | NΑ | 5.02 1/4 | | |
| 10/30/9 | F1 | 1 | 4 | DEC 09 KC WHEAT | | 08 | 5.02 1/4 | | |
| 10/30/9 | F1 | _ | 1 | DEC 09 KC WHEAT | | 0.8 | 5.02 1/2 | | |
| 10/30/9 | F1 | 8 | - | DEC 09 KC WHEAT | | 08 | 5.02 1/2 | | |
| 10/30/9 | F1 | 3 | 1 | DEC 09 KC WHEAT | | 08 | 5.02 3/4 | | |
| 10/30/9 | F1 | 1 | - | DEC 09 KC WHEAT | | 08 | 5.03 | US | |
| | | | | | | | | | |

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EM

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

PAGE 4

| | | BUY | SELL | CONTRACT DESCRIP | TION | EX | | | |
|---------|----|-----|------|------------------------------------|-------|-----|-------------|----|---------|
| | | | | DEG OO KG WHEAT | | | | | |
| 10/30/9 | | | 7 | DEC 09 KC WHEAT
DEC 09 KC WHEAT | | 08 | 5.03 | | |
| 10/30/9 | F1 | 1 | / | DEC 09 KC WHEAT | | | 5.03 1/4 | | |
| 10/30/9 | | 1 | _ | DEC 09 KC WHEAT DEC 09 KC WHEAT | | | 5.03 1/2 | | |
| 10/30/9 | F1 | | 9 | | | 08 | 5.03 1/2 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | 08 | 5.03 3/4 | | |
| 10/30/9 | F1 | | 9 | DEC 09 KC WHEAT | | | 5.04 1/4 | | |
| 10/30/9 | F1 | | 10 | DEC 09 KC WHEAT | | 80 | 5.04 3/4 | | |
| 10/30/9 | | 1 | | DEC 09 KC WHEAT | | 80 | 5.05 | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | | 5.05 1/4 | | |
| 10/30/9 | F1 | 2 | | DEC 09 KC WHEAT | | | 5.05 1/2 | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 08 | 5.05 3/4 | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 80 | 5.06 | | |
| 10/30/9 | F1 | | 6 | DEC 09 KC WHEAT | | 08 | | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 08 | 5.06 3/4 | | |
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | | 80 | | US | |
| 10/30/9 | F1 | 11 | | DEC 09 KC WHEAT | | 80 | 5.07 1/4 | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 80 | 5.08 1/2 | US | |
| 10/30/9 | F1 | 4 | | DEC 09 KC WHEAT | | 80 | 5.12 | US | |
| 10/30/9 | F1 | 7 | | DEC 09 KC WHEAT | | 80 | 5.13 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 80 | 5.13 1/4 | US | |
| | F1 | 50* | 188* | | | | COMMISSION | US | 95.20DR |
| | F1 | | | AVERAGE LONG: | 5.062 | CLE | EARING FEES | US | 23.80DR |
| | F1 | | | AVERAGE SHORT: | 5.004 | EXC | CHANGE FEES | US | 35.70DR |
| | F1 | | | | | | NFA FEES | US | 2.38DR |
| | F1 | | | | | | BROKERAGE | US | 23.80DR |
| | | | | | | | | | |

| TRADE SETTLE | AT | BUY | SELL | CONTRACT D | ESCRIPTION | EX | TRAD PRIC | CC | DEBIT/CREDIT |
|--------------|--------|-----|------|------------|------------|----|-----------|------|--------------|
| 10/30/9 |
F1 | 3 | | | WHEAT | | | | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT | | 01 | 4.89 | US | |
| 10/30/9 | F1 | 15 | | DEC 09 CBT | WHEAT | 01 | 4.89 1/ | 1 US | |
| 10/30/9 | F1 | 11 | | DEC 09 CBT | WHEAT | 01 | 4.89 1/ | | |
| 10/30/9 | F1 | | 18 | DEC 09 CBT | WHEAT | 01 | 4.89 1/ | | |
| 10/30/9 | F1 | 12 | | DEC 09 CBT | WHEAT | 01 | 4.89 3/ | 4 US | |
| 10/30/9 | F1 | | 2 | DEC 09 CBT | WHEAT | 01 | 4.89 3/ | 1 US | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT | WHEAT | 01 | 4.90 1/ | 1 US | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT | WHEAT | 01 | 4.90 1/ | 2 US | |
| 10/30/9 | F1 | | 7 | DEC 09 CBT | WHEAT | 01 | 4.90 3/ | 4 US | |
| 10/30/9 | F1 | 10 | | DEC 09 CBT | WHEAT | 01 | 4.91 | US | |
| 10/30/9 | F1 | | 102 | DEC 09 CBT | WHEAT | 01 | 4.91 | US | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT | WHEAT | 01 | 4.91 1/ | 1 US | |
| 10/30/9 | F1 | | 41 | DEC 09 CBT | WHEAT | 01 | 4.91 1/ | 1 US | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT | WHEAT | 01 | 4.91 1/ | 2 US | |
| 10/30/9 | F1 | | 60 | DEC 09 CBT | WHEAT | 01 | 4.91 1/ | 2 US | |
| 10/30/9 | F1 | 21 | | DEC 09 CBT | WHEAT | 01 | 4.91 3/ | 4 US | |
| 10/30/9 | F1 | | 27 | DEC 09 CBT | WHEAT | 01 | 4.91 3/ | 1 US | |
| 10/30/9 | F1 | 6 | | DEC 09 CBT | WHEAT | 01 | 4.92 | US | |
| 10/30/9 | F1 | | 4 | DEC 09 CBT | WHEAT | 01 | 4.92 | US | |
| 10/30/9 | F1 | 15 | | DEC 09 CBT | WHEAT | 01 | 4.92 1/ | 4 US | |
| 10/30/9 | F1 | 52 | | DEC 09 CBT | WHEAT | 01 | 4.92 1/ | 2 US | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT | WHEAT | 01 | 4.93 | US | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT | WHEAT | 01 | 4.93 1/ | 4 US | |

ADVANT **GRSETUL** 第12 = CV - 08791 - CM - GWG Document 54 2 2 CV - Filed: 01/29 44 4 4 4 4 4 6 6 6 6 6 4 8

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

EM

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

| TRADE SETTLE | AT | BUY | SELL | CONTR | ACT | DESCRIPTI | ON EX | TRAD | PRIC | CC | DEBIT/CREDIT |
|--------------------|----------|---|------|-------|---------|--------------------|--|----------------|-------|----|--------------|
| | F1 | 7
3
3
28
5
11
29 | | DEC 0 | 9 CB | r WHEAT | ON EX | 1 4.9 | | | |
| 10/30/9 | F1 | | 24 | DEC 0 | 9 CB | r wheat | 0 | 1 4.9 | 3 1/2 | US | |
| 10/30/9 | F1 | 3 | | DEC 0 | 9 CB | r WHEAT | 0. | 1 4.9 | 3 3/4 | US | |
| 10/30/9 | F1 | | 6 | DEC 0 | 9 CB | r WHEAT | 0. | 1 4.9 | 3 3/4 | US | |
| 10/30/9 | F1 | 3 | | DEC 0 | 9 CB | r WHEAT | 0. | 1 4.9 | | US | |
| 10/30/9 | F1 | | 15 | DEC 0 | 9 CB | r WHEAT | 0 | 1 4.9 | 4 | US | |
| 10/30/9 | F1 | 28 | | DEC 0 | 9 CB | r WHEAT | 0 | 1 4.9 | 4 1/4 | | |
| 10/30/9 | F1 | 5 | | DEC 0 | 9 CB | r WHEAT | 0 | 1 4.9 | 4 1/2 | | |
| 10/30/9 | F1 | 11 | | DEC 0 | 9 CB | r WHEAT | 0. | 1 4.9 | 5 1/4 | | |
| 10/30/9 | F1 | | 52 | DEC 0 | 9 CB | r WHEAT | 0. | 1 4.9 | 5 1/4 | | |
| 10/30/9 | F1 | 29 | | DEC 0 | 9 CB | r WHEAT | 0. | 1 4.9 | 5 1/2 | | |
| 10/30/9 | | | 14 | DEC 0 | 9 CB | r WHEAT | 0. | 1 4.9 | 5 1/2 | | |
| 10/30/9 | F1 | 8 | 0 | DEC 0 | 9 CB | I. MHEAI. | 0. | 1 4.9 | 5 3/4 | | |
| 10/30/9 | F1 | 1.0 | 9 | DEC 0 | 0 CB | L MHEAT | 0. | 1 4.9
1 4.9 | 5 3/4 | US | |
| 10/30/9
10/30/9 | F1
F1 | 10 | _ | DEC 0 | 9 CB | L WHEAI | 0. | 1 4.9
1 4.9 | | | |
| 10/30/9 | F1 | 8
10
37 | О | DEC 0 | שט פי | L MHEAI | 0. | 1 4.9 | 6 1/4 | US | |
| 10/30/9 | F1 | 37 | 5 | DEC 0 | ישיט פי | C WHEAT | 0
0
0
0 | 1 4.9 | 6 1/4 | | |
| 10/30/9 | F1 | 23
22
72 | 3 | | | C WHEAT | 0. | 1 4 0 | 6 1/2 | | |
| 10/30/9 | F1 | 22 | | | | C WHEAT | 0. | 1 4 9 | 6 3/4 | | |
| 10/30/9 | F1 | 72 | | | | C WHEAT | 0 | 1 4.9 | | US | |
| 10/30/9 | F1 | , 2 | 49 | | | C WHEAT | 0
0
0
0
0
0
0
0
0
0
0
0 | 1 4.9 | | US | |
| 10/30/9 | F1 | 5 | | | | C WHEAT | 0. | 1 4.9 | 7 1/4 | | |
| 10/30/9 | F1 | 2 | | | | C WHEAT | 0 | 1 4.9 | 7 1/2 | | |
| 10/30/9 | F1 | 5
2
17 | 2 | | | C WHEAT | 0: | 1 4.9 | 7 1/2 | US | |
| 10/30/9 | F1 | 17 | | DEC 0 | 9 CB | r wheat | 0. | 1 4.9 | 7 3/4 | US | |
| 10/30/9 | F1 | 2
5
3
7
3 | | DEC 0 | 9 CB | r WHEAT | 0 | 1 4.9 | 8 1/4 | US | |
| 10/30/9 | F1 | 5 | | DEC 0 | 9 CB | T WHEAT | 0. | 1 4.9 | 9 1/4 | US | |
| 10/30/9 | F1 | | 2 | DEC 0 | 9 CB | r WHEAT | 0 | 1 4.9 | 9 1/4 | US | |
| 10/30/9 | F1 | 3 | | DEC 0 | a CD | יי אינוניאיי | 0. | 1 5.0 | 0 | US | |
| 10/30/9 | F1 | | 2 | DEC 0 | 9 CB | C WHEAT | 0. | 1 5.0 | 0 | US | |
| 10/30/9 | F1 | | 2 | DEC 0 | 9 CB | r WHEAT
r WHEAT | 0 | 1 5.0 | 0 1/4 | | |
| 10/30/9 | F1 | | 2 | | | | 0. | 1 5.0 | 0 1/2 | | |
| 10/30/9 | F1 | 7 | | | | C WHEAT | 0. | 1 5.0 | 0 3/4 | | |
| 10/30/9 | F1 | 3 | | | | C WHEAT | 0. | 1 5.0 | | US | |
| 10/30/9 | F1 | | | | | C WHEAT | 0. | 5.0 | 3 1/2 | | |
| 10/30/9 | F1 | | | | | TASHW | 0. | 1 5.0 | | US | |
| 10/30/9
10/30/9 | F1
F1 | | | | | T WHEAT | 0. | | 8 3/4 | US | |
| 10/30/9 | F1 | | | | | C WHEAT | 0. | | 9 | US | |
| 10/30/9 | F1 | 470* | 470* | | 9 (5 | WILAI | GROSS P | | | | 24,975.00DR |
| | F1 | | 470 | | | NET | PROFIT OR LOS | | | | 24,975.00DR |
| 10/14/9 | F1 | 2
2
1
20
3
3
1
3
12
52 | | DEC 0 | 9 KC | WHEAT | 0 | 8 5.2 | 8 | US | |
| 10/14/9 | F1 | 2 | | DEC 0 | 9 KC | WHEAT | 0 | 8 5.2 | 9 | US | |
| 10/14/9 | F1 | 1 | | DEC 0 | 9 KC | WHEAT | 0 | | 9 1/4 | | |
| 10/19/9 | F1 | 20 | | DEC 0 | | | 0 | 8 5.2 | 5 1/4 | US | |
| 10/19/9 | F1 | 3 | | | | WHEAT | 0 | 8 5.2 | 6 | US | |
| 10/19/9 | F1 | 3 | | DEC 0 | | | 0 | 8 5.2 | 7 1/4 | | |
| 10/19/9 | F1 | 1 | | | | WHEAT | 0 | B 5.2 | 7 1/2 | | |
| 10/19/9 | F1 | 3 | | DEC 0 | | | 0 | 5.2 | | US | |
| 10/19/9 | F1 | 12 | | | | WHEAT | 0 | 5.2 | 8 1/4 | | |
| 10/19/9 | F1 | 52 | | DEC 0 | | | 0
0
0
0
0
0 | 5.2 | 8 1/2 | | |
| 10/19/9 | F1 | 20 | | | | WHEAT | 0 | 5.2 | 8 3/4 | | |
| 10/19/9 | F1 | 19 | | DEC 0 | y KC | WHEAT | 0 | 5.2 | 9 | US | |

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

EM

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

| TRADE SETTLE | | BUY | | | PTION EX | | | DEBIT/CREDIT |
|--------------|----|------|------|-----------------|----------------------|-------------|----|--------------|
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08
08
08
08 | 4.96 | US | |
| 10/30/9 | F1 | | 2 | DEC 09 KC WHEAT | 08 | 4.96 1/4 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 4.96 1/2 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 4.96 3/4 | US | |
| 10/30/9 | F1 | | 40 | DEC 09 KC WHEAT | 08 | 4.97 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 4.97 1/4 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 4.98 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 KC WHEAT | 08 | 4.98 1/4 | US | |
| 10/30/9 | F1 | | 5 | DEC 09 KC WHEAT | 08 | 4.98 1/2 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 4.98 3/4 | US | |
| 10/30/9 | F1 | 4 | | DEC 09 KC WHEAT | 08 | 4.99 | US | |
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | 08 | 4.99 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 KC WHEAT | 08 | 4.99 1/4 | US | |
| 10/30/9 | F1 | | 24 | DEC 09 KC WHEAT | 08 | 4.99 1/2 | US | |
| 10/30/9 | F1 | | 2 | DEC 09 KC WHEAT | 08 | 4.99 3/4 | US | |
| 10/30/9 | F1 | | 6 | DEC 09 KC WHEAT | 08 | 5.00 | US | |
| 10/30/9 | F1 | | 5 | DEC 09 KC WHEAT | 08 | 5.00 1/4 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 5.00 1/2 | US | |
| 10/30/9 | F1 | | 4 | DEC 09 KC WHEAT | 08 | 5.00 3/4 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 5.01 1/2 | US | |
| 10/30/9 | F1 | 2 | | DEC 09 KC WHEAT | 08 | 5.01 3/4 | US | |
| 10/30/9 | F1 | | 5 | DEC 09 KC WHEAT | 08 | 5.01 3/4 | US | |
| 10/30/9 | F1 | 2 | | DEC 09 KC WHEAT | 08 | 5.02 | US | |
| 10/30/9 | F1 | | 4 | DEC 09 KC WHEAT | 08 | 5.02 1/4 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.02 1/2 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 5.02 1/2 | US | |
| 10/30/9 | F1 | 8 | | DEC 09 KC WHEAT | 08 | 5.02 3/4 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 5.02 3/4 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.03 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 KC WHEAT | 08 | 5.03 | US | |
| 10/30/9 | F1 | | 7 | DEC 09 KC WHEAT | 08 | 5.03 1/4 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.03 1/2 | US | |
| 10/30/9 | F1 | | 6 | DEC 09 KC WHEAT | 08 | 5.03 1/2 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 KC WHEAT | 08 | 5.03 3/4 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 KC WHEAT | 08 | 5.04 1/4 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 KC WHEAT | 08 | 5.04 3/4 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.05 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.05 1/4 | US | |
| 10/30/9 | F1 | 2 | | DEC 09 KC WHEAT | 08 | 5.05 1/2 | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.05 3/4 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.06 | US | |
| 10/30/9 | F1 | | 6 | DEC 09 KC WHEAT | 08 | 5.06 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.06 3/4 | US | |
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | 08 | 5.07 | US | |
| 10/30/9 | F1 | 11 | | DEC 09 KC WHEAT | 08 | 5.07 1/4 | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.08 1/2 | | |
| 10/30/9 | F1 | 4 | | DEC 09 KC WHEAT | 08 | 5.12 | US | |
| 10/30/9 | F1 | 7 | | DEC 09 KC WHEAT | 08 | 5.13 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | 08 | 5.13 1/4 | | |
| | F1 | 188* | 188* | | | FIT OR LOSS | | 204,812.50DR |
| | F1 | | | : | NET PROFIT OR LOSS | FROM TRADES | US | 204,812.50DR |

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606 (312) 756-6300

EM

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

> PAGE 7

| * * * * | * * | * * * | O P E | N POSI | T I O N S | * * * * | * * * * * |
|--------------------|----------|-------|--------|--------------------------------------|-----------|----------------------------|------------------------|
| TRADE SETLLE | AT | LONG | SHORT | CONTRACT DESCRIP | TION EX | PRICE CC | DEBIT/CREDIT |
| 10/19/9 | F1 | | 29 | DEC 09 CBT WHEAT | 01 | 5.18 US | 34,437.50 |
| 10/19/9 | F1 | | 15 | DEC 09 CBT WHEAT | 01 | 5.18 1/4 US | 18,000.00 |
| 10/19/9 | F1 | | 21 | DEC 09 CBT WHEAT | 01 | 5.18 1/2 US | 25,462.50 |
| 10/19/9 | F1 | | 5 | DEC 09 CBT WHEAT | 01 | 5.18 3/4 US | 6,125.00 |
| 10/19/9 | F1 | | 10 | DEC 09 CBT WHEAT | 01 | 5.19 US | 12,375.00 |
| 10/21/9 | F1 | | 1 | DEC 09 CBT WHEAT | 01 | 5.33 1/4 US | 1,950.00 |
| 10/21/9 | F1 | | 6 | DEC 09 CBT WHEAT | 01 | 5.33 1/2 US | 11,775.00 |
| 10/21/9 | F1 | | 6 | DEC 09 CBT WHEAT | 01 | 5.33 3/4 US | 11,850.00 |
| 10/21/9 | F1 | | 40 | DEC 09 CBT WHEAT | 01 | 5.34 US | 79,500.00 |
| 10/21/9 | F1 | | 24 | DEC 09 CBT WHEAT | 01 | 5.34 1/4 US | 48,000.00 |
| 10/21/9 | F1 | | 23 | DEC 09 CBT WHEAT | 01 | 5.34 1/2 US | 46,287.50 |
| 10/21/9 | F1 | | 5 | DEC 09 CBT WHEAT | 01 | 5.41 3/4 US | 11,875.00 |
| 10/21/9 | F1 | | 10 | DEC 09 CBT WHEAT | 01 | 5.42 US | 23,875.00 |
| 10/21/9 | F1 | | 8 | DEC 09 CBT WHEAT | 01 | 5.42 1/4 US | 19,200.00 |
| 10/21/9 | F1 | | 7 | DEC 09 CBT WHEAT | 01 | 5.42 1/2 US | 16,887.50 |
| 10/21/9 | F1 | | 9 | DEC 09 CBT WHEAT | 01 | 5.42 3/4 US | 21,825.00 |
| 10/21/9 | F1 | | 2 | DEC 09 CBT WHEAT | 01 | 5.43 US | 4,875.00 |
| 10/21/9 | F1 | | 2 | DEC 09 CBT WHEAT | 01 | 5.43 1/4 US | 4,900.00 |
| 10/22/9 | F1 | | 4 | DEC 09 CBT WHEAT | 01 | 5.50 1/4 US | 11,200.00 |
| 10/22/9 | F1 | | 14 | DEC 09 CBT WHEAT | 01 | 5.50 1/2 US | 39,375.00 |
| 10/22/9 | F1 | | 10 | DEC 09 CBT WHEAT | 01 | 5.50 3/4 US | 28,250.00 |
| 10/22/9 | F1 | | 24 | DEC 09 CBT WHEAT | 01 | 5.51 US | 68,100.00 |
| 10/22/9 | F1 | | 3 | DEC 09 CBT WHEAT | 01 | 5.51 1/4 US | 8,550.00 |
| 10/22/9 | F1 | | 3 | DEC 09 CBT WHEAT | 01 | 5.51 1/2 US | 8,587.50 |
| 10/22/9 | F1 | | 4 | DEC 09 CBT WHEAT | 01 | 5.51 3/4 US | 11,500.00 |
| 10/22/9 | F1 | | 6 | DEC 09 CBT WHEAT | 01 | 5.52 US | 17,325.00 |
| 10/22/9 | F1 | | 5
9 | DEC 09 CBT WHEAT | 01
01 | 5.52 1/4 US | 14,500.00 |
| 10/22/9
10/22/9 | F1
F1 | | 5 | DEC 09 CBT WHEAT
DEC 09 CBT WHEAT | 01 | 5.52 1/2 US
5.52 3/4 US | 26,212.50
14,625.00 |
| 10/22/9 | F1
F1 | | 5 | DEC 09 CBT WHEAT | 01 | 5.32 3/4 US
5.33 1/4 US | 9,750.00 |
| 10/26/9 | F1 | | 76 | DEC 09 CBT WHEAT | 01 | 5.34 1/2 US | 152,950.00 |
| 10/26/9 | F1 | | 5 | DEC 09 CBT WHEAT | 01 | 5.54 1/2 US | 13,937.50 |
| 10/26/9 | F1 | | 1 | DEC 09 CBT WHEAT | 01 | 5.52 US | 2,887.50 |
| 10/26/9 | F1 | | 3 | DEC 09 CBT WHEAT | 01 | 5.52 1/2 US | 8,737.50 |
| 10/26/9 | F1 | | 1 | DEC 09 CBT WHEAT | 01 | 5.53 US | 2,937.50 |
| 10/27/9 | F1 | | 41 | DEC 09 CBT WHEAT | 01 | 5.03 1/2 US | 18,962.50 |
| 10/27/9 | F1 | | 6 | DEC 09 CBT WHEAT | 01 | 5.03 3/4 US | 2,850.00 |
| 10/27/9 | F1 | | 63 | DEC 09 CBT WHEAT | 01 | 5.04 US | 30,712.50 |
| 10/27/9 | F1 | | 36 | DEC 09 CBT WHEAT | 01 | 5.04 1/4 US | 18,000.00 |
| 10/27/9 | F1 | | 28 | DEC 09 CBT WHEAT | 01 | 5.04 1/2 US | 14,350.00 |
| 10/27/9 | F1 | | 3 | DEC 09 CBT WHEAT | 01 | 5.04 3/4 US | 1,575.00 |
| 10/27/9 | F1 | | 2 | DEC 09 CBT WHEAT | 01 | 5.05 US | 1,075.00 |
| 10/27/9 | F1 | | 5 | DEC 09 CBT WHEAT | 01 | 5.07 1/4 US | 3,250.00 |
| 10/27/9 | F1 | | 1 | DEC 09 CBT WHEAT | 01 | 5.07 1/2 US | 662.50 |
| 10/29/9 | F1 | | 5 | DEC 09 CBT WHEAT | 01 | 5.10 3/4 US | 4,125.00 |
| 10/29/9 | F1 | | 9 | DEC 09 CBT WHEAT | 01 | 5.11 US | 7,537.50 |
| 10/29/9 | F1 | | 16 | DEC 09 CBT WHEAT | 01 | 5.11 1/4 US | 13,600.00 |
| 10/30/9 | F1 | | 5 | DEC 09 CBT WHEAT | 01 | 5.09 US | 3,687.50 |
| | | | 621* | | CLOSE | 4.94 1/4 | 959,012.50 |
| | | | | AVERAGE SHORT: | 5.251 | | |

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

EM

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

| TRADE SETLLE | AT | LONG | SHORT CONTRACT | DESCRIPTION | EX | PRICE | CC | DEBIT/CREDIT |
|--------------------|----------|---------|------------------------|-------------|----------|-------|------------------|----------------------------|
| 10/19/9 | F1 | 3 | DEC 09 KC | WHEAT | 08 | 5.29 | US | 4,500.00DR |
| 10/19/9 | F1 | 5 | DEC 09 KC | | 08 | | 1/4 US | 7,562.50DR |
| 10/19/9 | F1 | 5 | DEC 09 KC | WHEAT | 08 | 5.29 | 1/2 US | 7,625.00DR |
| 10/19/9 | F1 | 5 | DEC 09 KC | WHEAT | 08 | 5.29 | 3/4 US | 7,687.50DR |
| 10/20/9 | F1 | 6 | DEC 09 KC | WHEAT | 08 | 5.30 | US | 9,300.00DR |
| 10/20/9 | F1 | 2 | DEC 09 KC | WHEAT | 80 | 5.30 | 1/4 US | 3,125.00DR |
| 10/20/9 | F1 | 1 | DEC 09 KC | WHEAT | 80 | 5.31 | US | 1,600.00DR |
| 10/20/9 | F1 | 3 | DEC 09 KC | | 80 | | 1/4 US | 4,837.50DR |
| 10/20/9 | F1 | 1 | DEC 09 KC | | 80 | | 3/4 US | 1,637.50DR |
| 10/20/9 | F1 | 4 | DEC 09 KC | | 80 | 5.32 | US | 6,600.00DR |
| 10/20/9 | F1 | 6 | DEC 09 KC | | 08 | | 3/4 US | 10,125.00DR |
| 10/21/9 | F1 | 1 | DEC 09 KC | | 08 | | 3/4 US | 2,037.50DR |
| 10/21/9 | F1 | 10 | DEC 09 KC | | 08 | 5.40 | US | 20,500.00DR |
| 10/21/9 | F1 | 8 | DEC 09 KC | | 08 | | 1/4 US | 16,500.00DR |
| 10/21/9 | F1 | 23 | DEC 09 KC | | 08 | | 1/2 US | 47,725.00DR |
| 10/21/9 | F1 | 22 | DEC 09 KC | | 08 | | 3/4 US | 45,925.00DR |
| 10/21/9 | F1 | 34 | DEC 09 KC | | 08 | 5.41 | US | 71,400.00DR |
| 10/21/9 | F1 | 2 | DEC 09 KC | | 08 | | 1/4 US | 4,225.00DR |
| 10/21/9 | F1 | 1 | DEC 09 KC | | 08 | | 1/2 US | 2,375.00DR |
| 10/21/9 | F1
F1 | 5
7 | DEC 09 KC
DEC 09 KC | | 08
08 | 5.40 | 3/4 US
US | 11,937.50DR
16,800.00DR |
| 10/21/9
10/21/9 | F1 | 11 | DEC 09 KC | | 08 | | 1/4 US | 26,537.50DR |
| 10/21/9 | F1 | 12 | DEC 09 KC | | 08 | | 1/4 US | 29,100.00DR |
| 10/21/9 | F1 | 2 | DEC 09 KC | | 08 | | 3/4 US | 4,875.00DR |
| 10/21/9 | F1 | 5 | DEC 09 KC | | 08 | 5.48 | US | 12,250.00DR |
| 10/22/9 | F1 | 6 | DEC 09 KC | | 08 | 5.50 | US | 15,300.00DR |
| 10/22/9 | F1 | 9 | DEC 09 KC | | 08 | | 1/4 US | 23,062.50DR |
| 10/22/9 | F1 | 6 | DEC 09 KC | | 08 | | 1/2 US | 15,450.00DR |
| 10/22/9 | F1 | 4 | DEC 09 KC | | 08 | | 3/4 US | 10,350.00DR |
| 10/22/9 | F1 | 22 | DEC 09 KC | | 08 | 5.51 | US | 57,200.00DR |
| 10/22/9 | F1 | 7 | DEC 09 KC | WHEAT | 08 | 5.51 | 1/4 US | 18,287.50DR |
| 10/22/9 | F1 | 2 | DEC 09 KC | WHEAT | 08 | 5.51 | 1/2 US | 5,250.00DR |
| 10/22/9 | F1 | 2 | DEC 09 KC | WHEAT | 08 | 5.51 | 3/4 US | 5,275.00DR |
| 10/22/9 | F1 | 2 | DEC 09 KC | WHEAT | 80 | 5.52 | US | 5,300.00DR |
| 10/22/9 | F1 | 5 | DEC 09 KC | WHEAT | 80 | 5.52 | 1/4 US | 13,312.50DR |
| 10/22/9 | F1 | 5 | DEC 09 KC | WHEAT | 80 | 5.52 | 1/2 US | 13,375.00DR |
| 10/22/9 | F1 | 12 | DEC 09 KC | | 80 | | 3/4 US | 32,250.00DR |
| 10/22/9 | F1 | 5 | DEC 09 KC | | 08 | 5.53 | US | 13,500.00DR |
| 10/26/9 | F1 | 30 | DEC 09 KC | | 08 | 5.33 | US | 51,000.00DR |
| 10/26/9 | F1 | 8 | DEC 09 KC | | 08 | | 1/4 US | 13,700.00DR |
| 10/26/9 | F1 | 5 | DEC 09 KC | | 08 | | 1/2 US | 8,625.00DR |
| 10/26/9 | F1 | 26 | DEC 09 KC | | 08 | 5.34 | US | 45,500.00DR |
| 10/26/9 | F1 | 1 | DEC 09 KC | | 08 | | 3/4 US | 1,787.50DR |
| 10/26/9 | F1 | 15
9 | DEC 09 KC | | 08 | | 1/2 US | 27,375.00DR |
| 10/26/9
10/26/9 | F1
F1 | 10 | DEC 09 KC
DEC 09 KC | | 08
08 | | 3/4 US
1/4 US | 16,537.50DR
18,625.00DR |
| 10/26/9 | F1 | 2 | DEC 09 KC | | 08 | | 1/4 US | 3,825.00DR |
| 10/26/9 | F1 | 2 | DEC 09 KC | | 08 | | 3/4 US | 3,825.00DR
3,975.00DR |
| 10/26/9 | F1 | 1 | DEC 09 KC | | 08 | | 3/4 US | 2,687.50DR |
| 10/26/9 | F1 | 2 | DEC 09 KC | | 08 | 5.53 | US | 5,400.00DR |
| 10/26/9 | F1 | 2 | DEC 09 KC | | 08 | | 1/4 US | 5,425.00DR |
| 10/27/9 | F1 | 6 | DEC 09 KC | | 08 | | 1/2 US | 3,450.00DR |
| 10/27/9 | F1 | 25 | DEC 09 KC | | 08 | | 3/4 US | 14,687.50DR |
| 10/27/9 | F1 | 9 | DEC 09 KC | | 08 | 5.11 | US | 5,400.00DR |
| | | | | | | | | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

EM

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

PAGE 9

| TRADE SETLLE | AT | LONG | SHORT | CONTRACT | DESCRIPTION | EX | PRICE | CC | DEBIT/CREDIT |
|--------------|----|------|-------|-----------|-------------|-------|---------|-------|----------------|
| 10/27/9 | F1 | 2 | | DEC 09 KC | WHEAT | 08 | 5.11 1, | /2 US | 1,250.00DR |
| 10/27/9 | F1 | 30 | | DEC 09 KC | WHEAT | 08 | 5.11 3, | 4 US | 19,125.00DR |
| 10/27/9 | F1 | 9 | | DEC 09 KC | WHEAT | 08 | 5.12 | US | 5,850.00DR |
| 10/27/9 | F1 | 5 | | DEC 09 KC | WHEAT | 08 | 5.12 1, | 4 US | 3,312.50DR |
| 10/29/9 | F1 | 74 | | DEC 09 KC | WHEAT | 08 | 5.12 3 | 4 US | 50,875.00DR |
| 10/29/9 | F1 | 6 | | DEC 09 KC | WHEAT | 08 | 5.13 | US | 4,200.00DR |
| 10/29/9 | F1 | 119 | | DEC 09 KC | WHEAT | 08 | 5.13 1, | /2 US | 86,275.00DR |
| 10/29/9 | F1 | 19 | | DEC 09 KC | WHEAT | 08 | 5.14 3 | 4 US | 14,962.50DR |
| 10/29/9 | F1 | 5 | | DEC 09 KC | WHEAT | 08 | 5.15 | US | 4,000.00DR |
| | | 693* | | | | CLOSE | 4.99 | | 1,022,550.00DR |

AVERAGE LONG: 5.285

| | ** US DOLLARS ** | ** US NON-REG\$ ** | **CONVERTED TOTAL** |
|--------------------------------|------------------|--------------------|---------------------|
| BEGINNING BALANCE | 689,006.58 | · | |
| COMMISSION | 378.70DR | .00 | 378.70DR |
| CLEARING FEES | 80.50DR | .00 | 80.50DR |
| EXCHANGE FEES | 744.45DR | .00 | 744.45DR |
| BROKERAGE FEES | 23.80DR | .00 | 23.80DR |
| NFA FEES | 2.38DR | .00 | 2.38DR |
| TOTAL FEES | 851.13DR | .00 | 851.13DR |
| GROSS PROFIT OR LOSS | 229,787.50DR | .00 | 229,787.50DR |
| NET PROFIT/LOSS FROM TRADES | 231,017.33DR | .00 | 231,017.33DR |
| ENDING BALANCE | 457,989.25 | 209,210.88 | 667,200.13 |
| ENDING BILLINGE | 137,700.23 | 205,210.00 | 007,200.13 |
| OPEN TRADE EQUITY | 63,537.50DR | .00 | 63,537.50DR |
| TOTAL EQUITY | 394,451.75 | 209,210.88 | 603,662.63 |
| ACCOUNT VALUE AT MARKET | 394,451.75 | 209,210.88 | 603,662.63 |
| | | | |
| INITIAL MARGIN REQUIREMENT | 693,900.00 | .00 | 693,900.00 |
| MAINTENANCE MARGIN REQUIREMENT | 620,325.00 | .00 | 620,325.00 |
| EXCESS EQUITY | .00 | 209,210.88 | 209,210.88 |
| MARGIN DEFICIT | 299,448.25DR | .00 | 299,448.25DR |
| MTD COMM. | 4,711.70DR | .00 | 4,711.70DR |

ADVAN (2015) TRIBE 2-014-08791-CM-GWG Document 54-1201 Files 01/29/10405 Page 11 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

EM

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN ERIC MONCADA

| * * * * | * * | * * * | O P E | N POSITIONS | * * * * | * * * * * |
|--------------|-----|-------------|-----------|---|---------------------|------------------------|
| TRADE SETLLE | AT | LONG | SHORT | CONTRACT DESCRIPTION EX | PRICE CC | DEBIT/CREDIT |
| 10/13/9 | F1 | | 25
25* | DEC 09 CBT WHEAT 01 CLOSE AVERAGE SHORT: 5.110 | 5.11 US
4.94 1/4 | 20,937.50
20,937.50 |
| 10/12/9 | F1 | 100
100* | | PUT DEC 09 WHEAT 470 01 28.7-DQ .287EX-11/20/09 CLOSE AVERAGE LONG: .150 | .15 US
.08 3/8 | 41,875.00
41,875.00 |
| 10/14/9 | F1 | 50
50* | | CALL DEC 09 WHEAT 530 01
13.0 DQ .259EX-11/20/09 CLOSE
AVERAGE LONG: .190 | .19 US
.06 5/8 | 16,562.50
16,562.50 |

** US DOLLARS **
BEGINNING BALANCE 122,818.00DR
ENDING BALANCE 122,818.00DR

OPEN TRADE EQUITY 20,937.50
TOTAL EQUITY 101,880.50DR
NET MARKET VALUE OF OPTIONS 58,437.50
ACCOUNT VALUE AT MARKET 43,443.00DR

MARGIN DEFICIT 101,880.50DR
MTD COMM. 40.00DR

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN PHIL IANNACCONE

* * * * * * * * * * * C O N F I R M A T I O N * * * * * * * * * * *

THE FOLLOWING TRADES HAVE BEEN MADE THIS DAY FOR YOUR ACCOUNT AND RISK.

PI

| | | BUY | SELL | CONTRACT DESCRIPTION | | TRAD PRIC | | |
|---------|--------|-----|------|--|----|-----------|----|--|
| 10/30/9 |
F1 | 8 | | DEC 09 CBT WHEAT
E-CBOT TRADE | 01 | 4.90 1/2 | US | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT WHEAT | 01 | 4.90 3/4 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT | 01 | 4.91 | US | |
| 10/30/9 | F1 | | 1 | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.91 1/4 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 CBT WHEAT | 01 | 4.91 1/4 | US | |
| 10/30/9 | F1 | 4 | | E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.91 3/4 | US | |
| 10/30/9 | F1 | 5 | | E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.92 | US | |
| 10/30/9 | F1 | | | | 01 | 4.92 | US | |
| 10/30/9 | F1 | 4 | | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.92 1/4 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 CBT WHEAT | 01 | 4.92 1/4 | US | |
| 10/30/9 | F1 | 4 | | E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.92 1/2 | US | |
| 10/30/9 | F1 | | 2 | E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.92 3/4 | US | |
| 10/30/9 | F1 | | 9 | E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.93 | US | |
| 10/30/9 | F1 | 1 | | E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.93 1/4 | US | |
| 10/30/9 | F1 | | 6 | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.93 1/4 | US | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT | 01 | 4.93 1/2 | US | |
| 10/30/9 | F1 | 4 | | E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 4.94 | US | |
| 10/30/9 | F1 | 1 | | E-CBOT TRADE DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.94 1/4 | US | |
| 10/30/9 | F1 | | 15 | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.94 1/4 | US | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.94 1/2 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.94 1/2 | US | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.94 3/4 | US | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.95 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 CBT WHEAT E-CBOT TRADE | 01 | 4.95 | US | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

ΡI

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN PHIL IANNACCONE

| | | | | CONTRACT DESCRIPTION | | | | | DEBIT/CREDIT |
|---------|----------|--|-----|---|-------|------|----------|-------|--------------------|
| | | 9 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 4.95 1/ | 1 US | |
| 10/30/9 | F1 | | 3 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 4.95 1/ | 4 US | |
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 4.95 3/ | 1 US | |
| 10/30/9 | F1 | | 1 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 4.95 3/ | 4 US | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 4.96 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 4.96 | US | |
| 10/30/9 | F1 | 7 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | | 4.96 1/ | 1 US | |
| 10/30/9 | F1 | | 5 | DEC 09 CBT WHEAT
E-CBOT TRADE | | | 4.96 1/ | 4 US | |
| 10/30/9 | F1 | 3 | | E-CBOT TRADE | | 01 | 4.96 1/ | 2 US | |
| 10/30/9 | F1 | | | E-CBOT TRADE | | | 4.96 1/ | 2 US | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT E-CBOT TRADE | | | 4.97 | US | |
| 10/30/9 | F1 | 1 | | E-CBOT TRADE | | | 4.97 3/ | | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT E-CBOT TRADE | | | 4.97 3/ | | |
| 10/30/9 | | | | DEC 09 CBT WHEAT
E-CBOT TRADE | | | | | |
| 10/30/9 | F1 | 5 | | E-CBOT TRADE | | | | | |
| | F1
F1 | 78* | 86* | AVEDACE LONG: 4 | 0.4.2 | CC | OMMISSIO | N US | 49.20DR |
| | F1 | | | AVERAGE LONG: 4 AVERAGE SHORT: 4 | .944 | EXCH | ANGE FEE | S US | 9.84DR
123.00DR |
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | | 08 | 4.97 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | | 80 | 4.97 1/ | 1 US | |
| | F1 | 1 | | DEC 09 KC WHEAT
DEC 09 KC WHEAT | | 80 | 4.97 1/ | 2 US | |
| | F1 | | 4 | DEC 09 KC WHEAT | | 08 | 4.97 3/ | 4 US | |
| | F1 | 1 | | DEC 09 KC WHEAT | | 80 | 4.98 | US | |
| | F1 | | 2 | DEC 09 KC WHEAT | | 08 | 4.98 | US. | |
| ., , . | F1 | | 1 | DEC 09 KC WHEAT | | 08 | 4.98 1/ | 1 US | |
| | F1 | 6 | | DEC U9 KC WHEAT | | 08 | 4.98 1/ | 2 05 | |
| | F1 | - | 1 | DEC 09 KC WHEAT | | 08 | 4.98 1/ | 2 US | |
| | F1 | 5 | 2 | DEC 09 KC WHEAT | | 08 | 4.98 3/ | 1 US | |
| | F1 | - | 3 | DEC 09 KC WHEAT | | 08 | 4.98 3/ | 1 05 | |
| | F1
F1 | 5 | 1.4 | DEC 09 KC WHEAT | | 08 | 4.99 | US | |
| ., , . | F1 | 0 | 7.4 | DEC 09 KC WHEAT | | 00 | 4.99 | 1 110 | |
| | F1 | 1
6
5
5
8
1
13
10 | | DEC 09 KC WHEAT DEC 09 KC WHEAT DEC 09 KC WHEAT DEC 09 KC WHEAT | | 00 | 4 90 1/ | 2 110 | |
| | F1 | 1 | 1 | DEC 09 KC WHEAT DEC 09 KC WHEAT | | 00 | 4 90 1/ | 2 115 | |
| | F1 | 12 | 1 | DEC 09 KC MHEVL | | 08 | 4 90 2/ | 1 119 | |
| | F1 | 10 | | DEC 00 KC MHEVA | | 08 | 5 00 | 110 | |
| | F1 | 10 | 1 | DEC 09 KC WHEAT | | 08 | 5.00 | IIS | |
| | F1 | 3 | 1 | DEC 09 KC WHEAT | | 08 | 5.00 1/ | 4 US | |
| | F1 | , and the second | 7 | DEC 09 KC WHEAT | | 08 | 5.00 1/ | 2 US | |
| | | | | | | | - ", | | |

ADVAN (2015) 11:452-04-08791-CM-GWG Document 54-1201 Files: 01/29/1405 Page 14 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

ΡI

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN PHIL IANNACCONE

HIL IANNACCONE

| TRADE SETL | AT | BUY | SELL | CONTRACT DESCRIPT | rion | EX | TRAD PRIC | CC | DEB | IT/CREDIT |
|------------|-------|---------|-------|-------------------|-------|-------|-------------|----|-----|-----------|
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | | 08 | 5.01 | US | | |
| 10/30/9 | F1 | 2 | | DEC 09 KC WHEAT | | 08 | 5.01 1/4 | US | | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | | 08 | 5.01 1/4 | US | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 08 | 5.01 1/2 | US | | |
| 10/30/9 | F1 | | 7 | DEC 09 KC WHEAT | | 08 | 5.01 1/2 | US | | |
| 10/30/9 | F1 | 2 | | DEC 09 KC WHEAT | | 08 | 5.01 3/4 | US | | |
| 10/30/9 | F1 | | 4 | DEC 09 KC WHEAT | | 08 | 5.01 3/4 | US | | |
| 10/30/9 | F1 | 8 | | DEC 09 KC WHEAT | | 08 | 5.02 | US | | |
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | | 08 | 5.02 | US | | |
| 10/30/9 | F1 | 5 | | DEC 09 KC WHEAT | | 08 | 5.02 1/4 | US | | |
| 10/30/9 | F1 | | 5 | DEC 09 KC WHEAT | | 08 | 5.02 1/4 | US | | |
| 10/30/9 | F1 | | 4 | DEC 09 KC WHEAT | | 80 | 5.02 1/2 | US | | |
| 10/30/9 | F1 | 7 | | DEC 09 KC WHEAT | | 08 | 5.02 3/4 | US | | |
| 10/30/9 | F1 | | 3 | DEC 09 KC WHEAT | | 80 | 5.02 3/4 | US | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 80 | 5.03 | US | | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | | 80 | 5.03 | US | | |
| 10/30/9 | F1 | 3 | | DEC 09 KC WHEAT | | 80 | 5.03 3/4 | US | | |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | | 80 | 5.03 3/4 | US | | |
| 10/30/9 | F1 | 4 | | DEC 09 KC WHEAT | | 80 | 5.04 | US | | |
| 10/30/9 | F1 | | 5 | DEC 09 KC WHEAT | | 80 | 5.06 1/2 | US | | |
| | F1 | 86* | 75 | * | | | COMMISSION | US | | 64.40DR |
| | F1 | | | AVERAGE LONG: | | | | | | 16.10DR |
| | F1 | | | AVERAGE SHORT: | 5.007 | EX | CHANGE FEES | US | | 273.70DR |
| | F1 | | | | | | NFA FEES | US | | 1.61DR |
| | F1 | | | | | | BROKERAGE | US | | 16.10DR |
| | | | | | | | | | | |
| * * * | * * * | * * * * | P U R | C H A S E | & S 1 | A L I | E * * | * | * * | * * * |

| | | BUY | | | | | | | DEBIT/CREDIT |
|---------|----|--------|--------|-----------|---------|-----|----------|----|--------------|
| 10/30/9 | | 8 | | DEC 09 CB | г мнгат | 0.1 | 4 90 1/2 | | |
| 10/30/9 | F1 | 2
1 | | DEC 09 CB | r wheat | 01 | 4.90 3/4 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 CB | r wheat | 01 | 4.91 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 CB | r wheat | 01 | 4.91 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 CB | r wheat | 01 | 4.91 1/4 | US | |
| 10/30/9 | F1 | 4
5 | 1 | DEC 09 CB | r wheat | 01 | 4.91 1/4 | US | |
| 10/30/9 | F1 | 4 | | DEC 09 CB | r wheat | 01 | 4.91 3/4 | US | |
| 10/30/9 | F1 | 5 | 5 | DEC 09 CB | r wheat | 01 | 4.92 | US | |
| 10/30/9 | | | 5 | | | 01 | 4.92 | | |
| 10/30/9 | | 4 | | DEC 09 CB | | 01 | 4.92 1/4 | | |
| 10/30/9 | | | 9 | | | 01 | 4.92 1/4 | | |
| 10/30/9 | | 4 | 2 | DEC 09 CB | Γ WHEAT | 01 | 4.92 1/2 | | |
| 10/30/9 | | | 2 | DEC 09 CB | r wheat | 01 | 4.92 3/4 | | |
| 10/30/9 | | | 9 | | | 01 | 4.93 | | |
| 10/30/9 | | 1 | | DEC 09 CB | Γ WHEAT | 01 | 4.93 1/4 | | |
| 10/30/9 | | | 6
5 | DEC 09 CB | r wheat | 01 | 4.93 1/4 | | |
| 10/30/9 | | | 5 | | | 01 | 4.93 1/2 | | |
| 10/30/9 | | 4 | | DEC 09 CB | | 01 | 4.94 | | |
| 10/30/9 | | 1 | | DEC 09 CB | | 01 | 4.94 1/4 | | |
| 10/30/9 | | _ | 15 | DEC 09 CB | | 01 | 4.94 1/4 | | |
| 10/30/9 | | 2 | | DEC 09 CB | | 01 | 4.94 1/2 | | |
| 10/30/9 | | _ | 1 | DEC 09 CB | | 01 | 4.94 1/2 | | |
| 10/30/9 | | 5 | | DEC 09 CB | | 01 | 4.94 3/4 | | |
| 10/30/9 | F1 | 5 | | DEC 09 CB | r wheat | 01 | 4.95 | US | |

ADVAN (2015) 11:452-04-08791-CM-GWG Document 54-1201 Files: 01/29/1405 Page 15 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

ΡI

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN PHIL IANNACCONE

| | | BUY | | | | | | | | | | DEBIT/CREDIT |
|--------------------|----------|-----|--------|-----|-----|----------------------|---------------|-----|---------------|-----|----|--------------|
| 10/30/9 | F1 | | | | | CDM LUURAM | 0 | | .95 | | US | |
| 10/30/9 | F1 | 9 | | DEC | 09 | CBT WHEAT | 0 0 0 0 0 | 1 4 | .95 1, | 4 | US | |
| 10/30/9 | F1 | | 3 | DEC | 09 | CBT WHEAT | 0. | 1 4 | .95 1, | 4 | US | |
| 10/30/9 | F1 | 1 | | DEC | 09 | CBT WHEAT | 0. | 1 4 | .95 3, | 4 | US | |
| 10/30/9 | F1 | | 1 | | | CBT WHEAT | 0. | 1 4 | .95 3, | 4 | | |
| 10/30/9 | F1 | 5 | | | | | | | .96 | | US | |
| 10/30/9 | F1 | | 9 | | | CBT WHEAT | 0. | | .96 | | US | |
| 10/30/9 | F1 | 7 | _ | | | CBT WHEAT | 0. | | .96 1, | | | |
| 10/30/9 | F1 | 2 | 5 | | | CBT WHEAT | 0. | | .96 1, | | | |
| 10/30/9 | F1 | 3 | 1 | | | CBT WHEAT | 0. | | .96 1 | | | |
| 10/30/9 | F1 | | | | | CBT WHEAT | 0. | | .96 1,
.97 | / 2 | | |
| 10/30/9
10/30/9 | F1
F1 | 1 | 3 | | | CBT WHEAT | 0. | | .97
.97 3, | / / | US | |
| 10/30/9 | F1 | 1 | 1 | | | CBT WHEAT | 0. | | .97 3 | | | |
| 10/30/9 | F1 | 5 | _ | | | CBT WHEAT | 0. | | .00 | - | US | |
| 10/30/5 | F1 | 78* | 78* | | 0,5 | CDI WIIDIII | GROSS P | | | 22 | | 587.50DR |
| | F1 | , 0 | 70 | | | NET | PROFIT OR LOS | | | | | 587.50DR |
| 10/30/9 | F1 | | 3 | DEC | 0.9 | KC WHEAT | 0 | 3 4 | .97 | | US | |
| 10/30/9 | F1 | | | | | KC WHEAT | 0 | | .97 1, | | | |
| 10/30/9 | F1 | 1 | | | | KC WHEAT | 0 | | .97 1 | | | |
| 10/30/9 | F1 | | 4 | DEC | 09 | KC WHEAT | 0 | 3 4 | .97 3 | 4 | US | |
| 10/30/9 | F1 | 1 | | DEC | 09 | KC WHEAT | 0 | 3 4 | .98 | | US | |
| 10/30/9 | F1 | | 2 | DEC | 09 | KC WHEAT | 0 | 3 4 | .98 | | US | |
| 10/30/9 | F1 | | 1 | DEC | 09 | KC WHEAT | 0 | 3 4 | .98 1, | 4 | US | |
| 10/30/9 | F1 | 6 | | DEC | 09 | KC WHEAT | 0 | 3 4 | .98 1, | /2 | US | |
| 10/30/9 | F1 | | 1 | DEC | 09 | KC WHEAT | 0 | 3 4 | .98 1, | /2 | US | |
| 10/30/9 | F1 | 5 | | | | KC WHEAT | 0 | | .98 3, | | | |
| 10/30/9 | F1 | | 3 | | | KC WHEAT | 0 | | .98 3, | 4 | | |
| 10/30/9 | F1 | 5 | | | | KC WHEAT | 0 | | .99 | | US | |
| 10/30/9 | F1 | | 14 | | | KC WHEAT | 0 | | .99 | | US | |
| 10/30/9 | F1 | 8 | | | | KC WHEAT | 0 | | .99 1 | | | |
| 10/30/9 | F1 | 1 | 1 | | | KC WHEAT | 0 | | .99 1, | | | |
| 10/30/9
10/30/9 | F1
F1 | 13 | 1 | | | KC WHEAT
KC WHEAT | 0 | | .99 1, | | | |
| 10/30/9 | F1 | 10 | | | | KC WHEAT | 0 | | .00 | 4 | US | |
| 10/30/9 | F1 | 10 | 1 | | | KC WHEAT | 0 | | .00 | | US | |
| 10/30/9 | F1 | 3 | _ | | | KC WHEAT | 0 | | .00 1 | / 4 | | |
| 10/30/9 | F1 | 3 | 7 | | | KC WHEAT | 0 | | .00 1 | | | |
| 10/30/9 | F1 | | 3 | | | KC WHEAT | 0 | | .01 | | US | |
| 10/30/9 | F1 | 2 | | | | KC WHEAT | 0 | | .01 1, | 4 | | |
| 10/30/9 | F1 | | 1 | DEC | 09 | KC WHEAT | 0 | 3 5 | .01 1 | 4 | US | |
| 10/30/9 | F1 | 1 | | DEC | 09 | KC WHEAT | 0 | 3 5 | .01 1, | /2 | US | |
| 10/30/9 | F1 | | 7 | DEC | 09 | KC WHEAT | 0 | 3 5 | .01 1, | /2 | US | |
| 10/30/9 | F1 | 2 | | DEC | 09 | KC WHEAT | 0 | 3 5 | .01 3, | 4 | US | |
| 10/30/9 | F1 | | 4 | DEC | 09 | KC WHEAT | 0 | | .01 3, | 4 | US | |
| 10/30/9 | F1 | 8 | | | | KC WHEAT | 0 | | .02 | | US | |
| 10/30/9 | F1 | | 3 | | | KC WHEAT | 0 | | .02 | | US | |
| 10/30/9 | F1 | 5 | _ | | | KC WHEAT | 0 | | .02 1, | | | |
| 10/30/9 | F1 | | | | | KC WHEAT | 0 | | .02 1, | | | |
| 10/30/9 | F1 | 4 | 4 | | | KC WHEAT | 0 | | .02 1 | | | |
| 10/30/9 | F1 | 4 | 2 | | | KC WHEAT | 0 | | .02 3 | | | |
| 10/30/9 | F1
F1 | | 3
1 | | | KC WHEAT
KC WHEAT | 0 | | .02 3, | 4 | US | |
| 10/30/9 | F1 | | 1 | | | | 0 | | | / / | | |
| 10/30/9 | rт | | 1 | DEC | U 9 | KC WHEAT | U | 5 | .03 3, | 4 | US | |

ADVAN (2015) 11:452-04-08791-CM-GWG Document 54-1201 Files: 01/29/1405 Page 16 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

ΡI

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN PHIL IANNACCONE

| TRADE SETTLE | | BUY | | CONTRACT DESCRIPT | | | PRIC | | DEBIT/CREDIT |
|--------------------|----------|---------|--------|--------------------------------------|---------------|--------|--------------------|----|------------------|
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | | .06 1/2 | | |
| 10/30/3 | F1 | 75* | 75* | | | PROFIT | | | 2,087.50 |
| | F1 | | | | T PROFIT OR L | | | | 2,087.50 |
| | | | | | | | | | , |
| * * * * | * * | * * * * | O P E | N P O S I | T I O N | S * | * * | * | * * * * * |
| TRADE SETLLE | AT | LONG | SHORT | CONTRACT DESCRIP | TION | EX PR | CE | CC | DEBIT/CREDIT |
| 10/15/9 | F1 | | 2 | DEC 09 CBT WHEAT | | 01 5 | .00 | US | 575.00 |
| 10/15/9 | F1 | | 4 | DEC 09 CBT WHEAT | | 01 5 | 00 1/4 | US | 1,200.00 |
| 10/15/9 | F1 | | 7 | DEC 09 CBT WHEAT | | 01 5 | 00 1/2 | US | 2,187.50 |
| 10/15/9 | F1 | | 5 | DEC 09 CBT WHEAT | | 01 5 | .01 | US | 1,687.50 |
| 10/15/9 | F1 | | 6 | DEC 09 CBT WHEAT | | 01 5 | 01 3/4 | US | 2,250.00 |
| 10/15/9 | F1 | | 2 | DEC 09 CBT WHEAT | | 01 5 | .02 | US | 775.00 |
| 10/15/9 | F1 | | 5 | DEC 09 CBT WHEAT | | 01 5 | 02 1/4 | US | 2,000.00 |
| 10/15/9 | F1 | | 5 | DEC 09 CBT WHEAT | | 01 5 | 02 1/2 | US | 2,062.50 |
| 10/15/9 | F1 | | 2 | DEC 09 CBT WHEAT | | 01 5 | 02 3/4 | US | 850.00 |
| 10/15/9 | F1 | | 2 | DEC 09 CBT WHEAT | | 01 5 | .03 3/4 | US | 950.00 |
| 10/15/9 | F1 | | 6 | DEC 09 CBT WHEAT | | 01 5 | .04 | US | 2,925.00 |
| 10/15/9 | F1 | | 1 | DEC 09 CBT WHEAT | | 01 5 | 04 1/4 | US | 500.00 |
| 10/15/9 | F1 | | 1 | DEC 09 CBT WHEAT | | 01 5 | 04 1/2 | US | 512.50 |
| 10/15/9 | F1 | | 1 | DEC 09 CBT WHEAT | | 01 5 | . 05 | US | 537.50 |
| 10/15/9 | F1 | | 1 | DEC 09 CBT WHEAT | | | 05 3/4 | | 575.00 |
| 10/15/9 | F1 | | 1 | DEC 09 CBT WHEAT | | 01 5 | .13 1/4 | US | 950.00 |
| 10/15/9 | F1 | | 4 | DEC 09 CBT WHEAT | | | .13 1/2 | | 3,850.00 |
| 10/19/9 | F1 | | 1 | DEC 09 CBT WHEAT | | | .08 3/4 | | 725.00 |
| 10/19/9 | F1 | | 5 | DEC 09 CBT WHEAT | | | .10 3/4 | | 4,125.00 |
| 10/19/9 | F1 | | 4 | DEC 09 CBT WHEAT | | | .19 3/4 | | 5,100.00 |
| 10/20/9 | F1 | | 2 | DEC 09 CBT WHEAT | | | .19 1/2 | | 2,525.00 |
| 10/21/9 | F1 | | 1 | DEC 09 CBT WHEAT | | | 41 1/2 | | 2,362.50 |
| 10/22/9 | F1 | | 1 | DEC 09 CBT WHEAT | | | 51 3/4 | | 2,875.00 |
| 10/22/9 | F1 | | 1 | DEC 09 CBT WHEAT | | | .52 | US | 2,887.50 |
| 10/22/9 | F1 | | 6 | DEC 09 CBT WHEAT | | | .52 1/4 | | 17,400.00 |
| 10/22/9 | F1 | | 1 | DEC 09 CBT WHEAT | | | .53 3/4 | | 2,975.00 |
| 10/22/9 | F1 | | 3 | DEC 09 CBT WHEAT | | | .54 | US | 8,962.50 |
| 10/29/9 | F1 | | 1 | DEC 09 CBT WHEAT | | | .04 1/2 | | 512.50 |
| 10/29/9 | F1 | | 5 | DEC 09 CBT WHEAT | | | .05 1/2 | | 2,812.50 |
| 10/29/9 | F1 | | 2 | DEC 09 CBT WHEAT | | | .06 1/2 | | 1,225.00 |
| 10/29/9 | F1 | | 5 | DEC 09 CBT WHEAT | | | .07 1/4 | | 3,250.00 |
| 10/29/9 | F1 | | 1
1 | DEC 09 CBT WHEAT
DEC 09 CBT WHEAT | | | .08 1/4 | | 700.00 |
| 10/29/9
10/30/9 | F1 | | 2 | DEC 09 CBT WHEAT | | | .09 1/2
.97 | | 762.50 |
| | F1 | | 2 | DEC 09 CBT WHEAT | | | | US | 275.00 |
| 10/30/9 | F1
F1 | | 4 | DEC 09 CBT WHEAT | | | .97 3/4
.98 1/4 | | 350.00
800.00 |
| 10/30/9 | r 1 | | 103* | DEC 09 CBI WHEAT | CT | | .98 1/4
.94 1/4 | | 85,012.50 |
| | | | 103 | AVERAGE SHORT: | 5.107 | DSE 4 | .94 1/4 | | 65,012.50 |
| 10/15/9 | F1 | 1 | | DEC 09 KC WHEAT | | 08 5 | .14 1/2 | US | 775.00DR |
| 10/15/9 | F1 | 1 | | DEC 09 KC WHEAT | | | .14 3/4 | | 787.50DR |
| 10/15/9 | F1 | 9 | | DEC 09 KC WHEAT | | | .15 | US | 7,200.00DR |
| 10/15/9 | F1 | 3 | | DEC 09 KC WHEAT | | | .15 1/4 | | 2,437.50DR |
| 10/15/9 | F1 | 11 | | DEC 09 KC WHEAT | | | 15 1/2 | | 9,075.00DR |
| 10/15/9 | F1 | 6 | | DEC 09 KC WHEAT | | | 15 3/4 | | 5,025.00DR |
| 10/15/9 | F1 | 1 | | DEC 09 KC WHEAT | | | .16 | US | 850.00DR |
| • | | | | | | | | | |

ADVAN (2015) 11:452-014-08791-CM-GWG Document 54-1201 Files: 01/29/1245 Page 17 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

PI

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN PHIL IANNACCONE

HIL IANNACCONE

| TRADE SETLLE | AT | LONG | SHORT CO | NTRACT | DESCRIPTI | ON | EX | PRICE | | CC | DEBIT/CREDIT |
|--------------|----|------|----------|---------|-----------|-------|-------|-------|-----|----|--------------|
| 10/15/9 | F1 | 5 | DEC | . 09 KC | WHEAT | | 08 | 5.16 | 1/4 | US | 4,312.50DR |
| 10/15/9 | F1 | 2 | DEC | 09 KC | WHEAT | | 08 | 5.16 | 1/2 | US | 1,750.00DR |
| 10/15/9 | F1 | 2 | DEC | 09 KC | WHEAT | | 08 | 5.16 | 3/4 | US | 1,775.00DR |
| 10/15/9 | F1 | 5 | DEC | . 09 KC | WHEAT | | 08 | 5.17 | | US | 4,500.00DR |
| 10/15/9 | F1 | 1 | DEC | 09 KC | WHEAT | | 08 | 5.17 | 1/4 | US | 912.50DR |
| 10/15/9 | F1 | 1 | DEC | 09 KC | WHEAT | | 80 | 5.17 | 1/2 | US | 925.00DR |
| 10/15/9 | F1 | 1 | DEC | 09 KC | WHEAT | | 80 | 5.18 | 1/4 | US | 962.50DR |
| 10/15/9 | F1 | 1 | DEC | 09 KC | WHEAT | | 08 | 5.18 | 1/2 | US | 975.00DR |
| 10/15/9 | F1 | 5 | DEC | 09 KC | WHEAT | | 80 | 5.25 | 1/2 | US | 6,625.00DR |
| 10/19/9 | F1 | 2 | DEC | 09 KC | WHEAT | | 80 | 5.22 | 1/2 | US | 2,350.00DR |
| 10/19/9 | F1 | 3 | DEC | : 09 KC | WHEAT | | 08 | 5.27 | 1/2 | US | 4,275.00DR |
| 10/19/9 | F1 | 2 | DEC | 09 KC | WHEAT | | 80 | 5.31 | | US | 3,200.00DR |
| 10/19/9 | F1 | 2 | DEC | : 09 KC | WHEAT | | 08 | 5.31 | 1/4 | US | 3,225.00DR |
| 10/19/9 | F1 | 1 | DEC | 09 KC | WHEAT | | 80 | 5.31 | 1/2 | US | 1,625.00DR |
| 10/20/9 | F1 | 2 | DEC | 09 KC | WHEAT | | 08 | 5.28 | | US | 2,900.00DR |
| 10/21/9 | F1 | 1 | DEC | 09 KC | WHEAT | | 80 | 5.47 | 1/2 | US | 2,425.00DR |
| 10/22/9 | F1 | 1 | DEC | 09 KC | WHEAT | | 08 | 5.51 | 1/2 | US | 2,625.00DR |
| 10/22/9 | F1 | 2 | DEC | 09 KC | WHEAT | | 80 | 5.52 | 1/4 | US | 5,325.00DR |
| 10/22/9 | F1 | 2 | | 09 KC | | | 80 | 5.52 | 1/2 | US | 5,350.00DR |
| 10/22/9 | F1 | 1 | | 09 KC | | | 08 | 5.52 | 3/4 | US | 2,687.50DR |
| 10/22/9 | F1 | 2 | DEC | 09 KC | WHEAT | | 80 | 5.53 | | US | 5,400.00DR |
| 10/22/9 | F1 | 4 | DEC | 09 KC | WHEAT | | 08 | 5.54 | | | 11,050.00DR |
| 10/29/9 | F1 | 4 | | 09 KC | | | 80 | 5.11 | | | 2,450.00DR |
| 10/29/9 | F1 | 5 | DEC | : 09 KC | WHEAT | | 08 | 5.12 | | US | 3,250.00DR |
| 10/29/9 | F1 | 3 | | 09 KC | | | 80 | 5.12 | | | 1,987.50DR |
| 10/29/9 | F1 | 2 | | : 09 KC | | | 08 | 5.13 | | US | 1,400.00DR |
| 10/29/9 | F1 | 1 | DEC | : 09 KC | WHEAT | | 08 | 5.13 | 1/2 | US | 725.00DR |
| 10/30/9 | F1 | 3 | | 09 KC | | | 80 | 5.02 | | | 562.50DR |
| 10/30/9 | F1 | 1 | | : 09 KC | | | 08 | 5.03 | | US | 200.00DR |
| 10/30/9 | F1 | 3 | DEC | 09 KC | WHEAT | | 08 | 5.03 | 3/4 | US | 712.50DR |
| 10/30/9 | F1 | 4 | DEC | 09 KC | WHEAT | | 80 | 5.04 | | US | 1,000.00DR |
| | | 106* | | | | | CLOSE | 4.99 | | | 113,612.50DR |
| | | | AVE | RAGE L | ONG: | 5.204 | | | | | |

| | * * | US | DOLLARS ** |
|--------------------------------|-----|----|--------------|
| BEGINNING BALANCE | | | 11,690.12DR |
| COMMISSION | | | 113.60DR |
| CLEARING FEES | | | 25.94DR |
| EXCHANGE FEES | | | 396.70DR |
| BROKERAGE FEES | | | 16.10DR |
| NFA FEES | | | 1.61DR |
| TOTAL FEES | | | 440.35DR |
| GROSS PROFIT OR LOSS | | | 1,500.00 |
| NET PROFIT/LOSS FROM TRADES | | | 946.05 |
| ENDING BALANCE | | | 10,744.07DR |
| | | | |
| OPEN TRADE EQUITY | | | 28,600.00DR |
| TOTAL EQUITY | | | 39,344.07DR |
| ACCOUNT VALUE AT MARKET | | | 39,344.07DR |
| | | | |
| INITIAL MARGIN REQUIREMENT | | | 98,325.00 |
| MAINTENANCE MARGIN REQUIREMENT | | | 89,475.00 |
| MARGIN DEFICIT | | | 137,669.07DR |
| MTD COMM. | | | 648.50DR |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

SERDIKA LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ΡI

ATTN: URIEL COHEN ATTN: EMIL DONTCHEV ATTN PHIL IANNACCONE

PAGE 7

** US DOLLARS **

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR

NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

THE FOLLOWING TRADES HAVE BEEN MADE THIS DAY FOR YOUR ACCOUNT AND RISK.

| | | BUY | | CONTRACT DESCRIPTION | | | | |
|---------|-----|-----|-----|--|-----|-------------|-----|--------|
| | | 1 | | DEC 09 CBT WHEAT | | 4.88 | | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | 2 | | | 01 | 4.89 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT | 01 | 4.89 1/2 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | 10 | | DEC 09 CBT WHEAT | | 4.90 1/2 | US | |
| 10/30/9 | F1 | | 2 | E-CBOT TRADE | 0.1 | 5.05 1/4 | 110 | |
| 10/30/9 | r ı | | 2 | DEC 09 CBT WHEAT E-CBOT TRADE DEC 09 CBT WHEAT | 01 | 5.05 1/4 | US | |
| 10/30/9 | F1 | | 2 | DEC 09 CBT WHEAT | 01 | 5.05 1/2 | US | |
| ,,- | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | | 9 | DEC 09 CBT WHEAT | 01 | 5.05 3/4 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT | 01 | 5.06 | US | |
| | | | | E CDOE TRADE | | | | |
| 10/30/9 | F1 | | 4 | DEC 09 CBT WHEAT | 01 | 5.06 1/4 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | | 5 | E-CBOT TRADE
DEC 09 CBT WHEAT | 01 | 5.07 1/4 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | 3 | | DEC 09 CBT WHEAT | 01 | 5.07 3/4 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT | 01 | 5.07 3/4 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT WHEAT | 01 | 5.08 1/4 | US | |
| 10/20/0 | F1 | 1.5 | | E-CBOT TRADE
DEC 09 CBT WHEAT | 0.1 | F 00 1/0 | *** | |
| 10/30/9 | r 1 | 15 | | E-CBOT TRADE | 01 | 5.08 1/2 | US | |
| 10/30/9 | E1 | | Q | DEC 09 CBT WHEAT | 0.1 | 5.08 1/2 | TTC | |
| 10/30/3 | I I | | | E-CBOT TRADE | 01 | 3.00 1/2 | 05 | |
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT | 01 | 5.08 3/4 | US | |
| ,,- | | _ | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT | 01 | 5.09 | US | |
| | | | | E-CBOT TRADE | | | | |
| 10/30/9 | F1 | | 6 | DEC 09 CBT WHEAT | 01 | 5.09 | US | |
| | | | | E-CBOT TRADE | | | | |
| | F1 | 47* | 39* | | | COMMISSION | US | 4.30DR |
| | F1 | | | AVERAGE LONG: 5.027 | CLI | EARING FEES | US | 5.16DR |
| | F1 | | | AVERAGE SHORT: 5.071 | EXC | | | |
| | F1 | | | | | NFA FEES | US | .86DR |
| 10/30/9 | p1 | 25 | | DEC 09 NYM LT CRUDE | 0.7 | 76 96 | US | |
| 10/30/3 | r 1 | 25 | | GLOREY TRADES | | | OD | |
| 10/30/9 | F1 | | 20 | DEC 09 NYM LT CRUDE | 07 | 76.86 | US | |
| -//- | | | 20 | GLOBEX TRADES | | | | |
| 10/30/9 | F1 | | 10 | DEC 09 NYM LT CRUDE | 07 | 76.87 | US | |
| | | | | GLOBEX TRADES | | | | |
| 10/30/9 | F1 | | 19 | DEC 09 NYM LT CRUDE | 07 | 76.88 | US | |
| | | | | GLOBEX TRADES | | | | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606 (312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| TRADE SETL | AT | BUY | SELL | CONTRACT DESCRIPTION | EX | TRAD PRIC | CC | DEBIT/CREDIT |
|------------|----|-----|------|---|----|-----------|----|--------------|
| 10/30/9 | F1 | | 1 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.90 | US | |
| | | 25 | | DEC 09 NYM LT CRUDE | | | | |
| 10/30/9 | F1 | 55 | | | 07 | 76.92 | US | |
| 10/30/9 | F1 | 87 | | DEC 09 NYM LT CRUDE | 07 | 76.93 | US | |
| 10/30/9 | F1 | | 3 | DEC 09 NYM LT CRUDE | 07 | 76.94 | US | |
| 10/30/9 | F1 | 150 | | DEC 09 NYM LT CRUDE | 07 | 76.95 | US | |
| 10/30/9 | F1 | | 41 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.95 | US | |
| 10/30/9 | F1 | 87 | | DEC 09 NYM LT CRUDE | 07 | 76.96 | US | |
| 10/30/9 | F1 | | 146 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.96 | US | |
| 10/30/9 | F1 | 148 | | DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 76.97 | US | |
| 10/30/9 | F1 | | 13 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.97 | US | |
| 10/30/9 | F1 | 39 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.98 | US | |
| 10/30/9 | F1 | | 55 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.98 | US | |
| 10/30/9 | F1 | 101 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.99 | US | |
| 10/30/9 | F1 | 529 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.00 | US | |
| 10/30/9 | F1 | | 125 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.00 | US | |
| 10/30/9 | F1 | 227 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.01 | US | |
| 10/30/9 | F1 | 8 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.02 | US | |
| 10/30/9 | F1 | | 45 | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 77.02 | US | |
| 10/30/9 | F1 | 34 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.03 | US | |
| | | 45 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.04 | US | |
| 10/30/9 | F1 | 39 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.05 | US | |
| 10/30/9 | F1 | 36 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.06 | US | |
| 10/30/9 | F1 | | 11 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 77.06 | US | |
| 10/30/9 | F1 | 102 | | DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 77.07 | US | |
| 10/30/9 | F1 | | 15 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.07 | US | |
| 10/30/9 | F1 | | 29 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.08 | US | |
| 10/30/9 | F1 | 150 | | GLOBEX TRADES
DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.10 | US | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| TRADE SETL | AT | BUY | SELL | CONTRACT DESCRIPTION | EX | TRAD PRIC | . CC | DEBIT/CREDIT |
|------------|----------|----------|------|---|------|-----------|------|--------------|
| 10/30/9 | F1 | 10 | | DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 77.18 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 NYM LT CRUDE | 07 | 77.18 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 77.23 | US | |
| 10/30/9 | P I | 43 | | DEC 09 NIM LI CRODE | 0 / | 11.25 | US | |
| 10/30/9 | F1 | 6 | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 77.27 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.28 | US | |
| 10/30/9 | F1 | 7 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.38 | US | |
| | | | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | 5
5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | 5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | 5
5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| | | | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| | | 5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| | | 8 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | 5
5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | 5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES
DEC 09 NYM LT CRUDE | 07 | 79.25 | US | |
| 10/30/9 | F1 | 11
19 | | GLOBEX TRADES | 0.77 | TO 0T | US | |
| 10/30/9 | F1 | 19 | | GLOBEX TRADES | 07 | 79.27 | US | |
| 10/30/9 | F1 | 7 | | GLOBEX TRADES | 07 | 79.28 | US | |
| 10/30/9 | rı
rı | 3 | E | GLOBEX TRADES | 07 | 79.29 | US | |
| 10/30/9 | rı
rı | | 5 | GLOBEX TRADES | 07 | 79.34 | US | |
| 10/30/9 | r1
p1 | | 7 | GLOBEX TRADES | 07 | 79.43 | US | |
| 10/30/9 | F1 | | , | GLOBEX TRADES | 07 | 79.44 | US | |
| 10/30/9 | E1 | | 0 | GLOBEX TRADES | 07 | 70 10 | US | |
| 10/30/9 | F1 | 16 | 8 | DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 79.40 | US | |
| 10/30/9 | E1 | 3 300 | | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 79.01 | US | |
| 10/30/9 | E1 | 13 | | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 79 01 | US | |
| 10/30/3 | r I | 13 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 0 / | 10.9⊥ | UD | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| | | | | CONTRACT DESCRIPTION | | | | DEBIT/CREDIT |
|---------|----|--------|-----|---|-----|------------|-----|--------------|
| 10/30/9 | | | 3 | | 07 | 79.91 | | |
| 10/30/9 | F1 | 31 | | DEC 09 NYM LT CRUDE | | | US | |
| 10/30/9 | F1 | | 5 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 79.93 | US | |
| | | 26 | | GLOBEX TRADES | | | US | |
| | | 20 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| | | 22 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | 75 | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 79.98 | US | |
| 10/30/9 | F1 | | 5 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.98 | US | |
| | F1 | | 10 | | | | US | |
| 10/30/9 | F1 | 12 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | | 26 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| | | 5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.02 | US | |
| | | 5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.04 | US | |
| | F1 | | 4 | GLOBEX TRADES | 07 | 80.04 | US | |
| | | 4 | | GLOBEX TRADES | 07 | 80.05 | US | |
| | F1 | 0 | 4 | GLOBEX TRADES | 07 | 80.07 | US | |
| | F1 | 2 | | GLOBEX TRADES | 07 | 80.09 | US | |
| | F1 | | 7 | GLOBEX TRADES | 07 | 80.10 | US | |
| | F1 | | 2 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 80.11 | US | |
| 10/30/9 | | | 1 | GLOBEX TRADES | 07 | 80.16 | US | |
| 10/30/9 | F1 | 5,687* | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | | 633.20DR |
| | | 3,007 | 043 | | ~- | COMMISSION | 0.5 | |
| | F1 | | | AVERAGE LONG: 78.871
AVERAGE SHORT: 77.392 | CLE | AKING FEES | US | 9,181.40DR |
| | F1 | | | AVERAGE SHORT: 77.392 | | NFA FEES | US | 63.32DR |
| | F1 | | | | | BROKERAGE | | 366.60DR |
| | F1 | | | | | UTION FEES | | 50.00DR |
| | | 1,135 | | JAN 10 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.64 | | |
| | F1 | | | JAN 10 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | | 10 | JAN 10 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.43 | US | |

ADVAN (2015) 11:452-04-08791-CM-GWG Document 54-1201 Files 01/29/1246 Page 23 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606 (312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| | | | | CONTRACT DESCRIPTION | | | | |
|---------|----|--------|--------|--|-------|-----------|----|------------|
| 10/30/9 | | | | JAN 10 NYM LT CRUDE
GLOBEX TRADES | | 80.44 | | |
| 10/30/9 | F1 | | 20 | JAN 10 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.45 | US | |
| 10/30/9 | F1 | | 70 | JAN 10 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.46 | US | |
| 10/30/9 | F1 | | 90 | JAN 10 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.47 | US | |
| 10/30/9 | F1 | | 100 | JAN 10 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.48 | US | |
| 10/30/9 | F1 | | | JAN 10 NYM LT CRUDE
GLOBEX TRADES | | 80.49 | US | |
| 10/30/9 | F1 | | | JAN 10 NYM LT CRUDE
GLOBEX TRADES | | 80.50 | US | |
| 10/30/9 | F1 | | | JAN 10 NYM LT CRUDE
GLOBEX TRADES | 07 | 80.51 | US | |
| | F1 | 1,135* | 4,490* | | C | OMMISSION | US | 562.50DR |
| | F1 | | | AVERAGE LONG: 77.640 | CLEAR | RING FEES | US | 8,156.25DR |
| | F1 | | | AVERAGE SHORT: 79.796 | | NFA FEES | US | 56.25DR |
| | F1 | | | | Ι | BROKERAGE | US | 281.25DR |
| 10/30/9 | F1 | | 100 | PUT DEC 09 NYM NAT GAS E 4000
NIGHT TRADE | 07 | .0325 | US | 32,500.00 |
| | F1 | | 100* | EX-11/23/09 | | OMMISSION | | 10.00DR |
| | F1 | | | AVERAGE SHORT: .032 | CLEAR | RING FEES | US | 145.00DR |
| | F1 | | | | | NFA FEES | US | 1.00DR |
| | F1 | | | | I | BROKERAGE | US | 105.00DR |
| | F1 | | | | OPTIO | N PREMIUM | US | 32,500.00 |
| 10/30/9 | F1 | | 125 | PUT DEC 09 NYM NAT GAS E 4500 NIGHT TRADE | 07 | .0800 | US | 100,000.00 |
| 10/30/9 | F1 | | 75 | PUT DEC 09 NYM NAT GAS E 4500 NIGHT TRADE | 07 | .0950 | US | 71,250.00 |
| 10/30/9 | F1 | | 50 | PUT DEC 09 NYM NAT GAS E 4500 NIGHT TRADE | 07 | .1000 | US | 50,000.00 |
| | F1 | | 250* | EX-11/23/09 | C | OMMISSION | US | 25.00DR |
| | F1 | | | AVERAGE SHORT: .088 | CLEA | RING FEES | US | 362.50DR |
| | F1 | | | | | NFA FEES | US | 2.50DR |
| | F1 | | | | I | BROKERAGE | US | 262.50DR |
| | F1 | | | | OPTIO | N PREMIUM | US | 221,250.00 |
| 10/30/9 | F1 | 49 | | GLOBEX TRADES | | 5.044 | US | |
| 10/30/9 | F1 | | 49 | DEC 09 NATURAL GAS
GLOBEX TRADES | 07 | 5.046 | US | |
| | F1 | 49* | 49* | | CO | OMMISSION | US | 9.80DR |
| | F1 | | | AVERAGE LONG: 5.044
AVERAGE SHORT: 5.046 | CLEA | RING FEES | US | 142.10DR |
| | F1 | | | AVERAGE SHORT: 5.046 | | NFA FEES | US | .98DR |
| | F1 | | | | I | BROKERAGE | US | 4.90DR |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | 08 | 4.95 3/4 | US | |
| 10/30/9 | F1 | | 2 | DEC 09 KC WHEAT | 08 | 4.96 1/4 | US | |
| 10/30/9 | F1 | | | | | 4.97 3/4 | | |
| 10/30/9 | F1 | 1 | | | 08 | | | |
| | F1 | 1* | 14* | | C | OMMISSION | US | 2.25DR |
| | F1 | | | AVERAGE LONG: 5.135 | CLEA | RING FEES | US | 1.50DR |
| | F1 | | | AVERAGE LONG: 5.135
AVERAGE SHORT: 4.973 | EXCH | ANGE FEES | US | 25.50DR |

STATEMENT DATE: OCT 30, 2009

30 S. WACKER DRIVE - SUITE 2020

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

PAGE 6 TRADE SETL AT BUY SELL CONTRACT DESCRIPTION EX TRAD PRIC CC DEBIT/CREDIT BROKERAGE US 10/30/9 DEC 09 ICE WTI CRUDE 10/30/9 DEC 09 ICE WTI CRUDE 79.92 10/30/9 DEC 09 ICE WTI CRUDE F2 19 79.93 19 79.94 10/30/9 F2 DEC 09 ICE WTI CRUDE US DEC 09 ICE WTI CRUDE 10/30/9 F2 10/30/9 F2 1 DEC 09 ICE WTI CRUDE 19 79.94 US 10/30/9 F2 4 DEC 09 ICE WTI CRUDE 79.96 19 US DEC 09 ICE WTI CRUDE 10/30/9 F2 3 19 79.99 US 19 10/30/9 F2 3 DEC 09 ICE WTI CRUDE 80.00 US 42* 40* COMMISSION US F2 4.10DR AVERAGE LONG: 79.937 CLEARING FEES US F2 9.84DR AVERAGE SHORT: 79.932 EXCHANGE FEES US F2 57.40DR WE HAVE ACCEPTED THE FOLLOWING TRADES FOR YOUR ACCOUNT FROM THE INDICATED FIRM. CONTRACT DESCRIPTION EX PRICE CC DEBIT/CREDIT AТ TRADE SETL BUY SELL -------- -------- -----07 77.00 US 07 77.01 US 750 DEC 09 NYM LT CRUDE 10/30/9 F1 3,750 DEC 09 NYM LT CRUDE 10/30/9 F1 COMMISSION US F1 4,500* 450 00DR AVERAGE SHORT: 77.008 F1 CLEARING FEES US 6,525.00DR F1 NFA FEES US 45.00DR F1 BROKERAGE US 4,725,00DR JAN 10 NYM LT CRUDE 10/30/9 F1 3,000 07 77.64 US COMMISSION US F1 3,000* 300.00DR F1AVERAGE LONG: 77.640 CLEARING FEES US 4,350.00DR F1 NFA FEES US 30.00DR F1 BROKERAGE US 3,150.00DR PURCHASE S A L E * * * * * * * * TRADE SETTLE AT BUY SELL CONTRACT DESCRIPTION EX TRAD PRIC CC DEBIT/CREDIT 10/30/9 1 DEC 09 CBT WHEAT 4.88 US 4.89 US 4.89 1/2 US 10/30/9 F1 2 DEC 09 CBT WHEAT 01 DEC 09 CBT WHEAT 10/30/9 10/30/9 F1 10 DEC 09 CBT WHEAT 01 4.90 1/2 US 10/30/9 DEC 09 CBT WHEAT 5.05 1/4 US F1 01 10/30/9 F1 DEC 09 CBT WHEAT 01 5.05 1/2 US 10/30/9 F1 DEC 09 CBT WHEAT 5.05 3/4 US 01 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.06 US 10/30/9 F1 DEC 09 CBT WHEAT 5.06 1/4 US 01 10/30/9 DEC 09 CBT WHEAT 5.07 1/4 US F1 01 5.07 3/4 US 10/30/9 DEC 09 CBT WHEAT F1 3 01 3 DEC 09 CBT WHEAT 5.07 3/4 US 10/30/9 F1 01 DEC 09 CBT WHEAT 5.08 1/4 US 10/30/9 F1 01 01 10/30/9 F1 13 DEC 09 CBT WHEAT 5.08 1/2 US 8 DEC 09 CBT WHEAT 10/30/9 F1 0.1 5.08 1/2 US 6 DEC 09 CBT WHEAT 5.09 US 10/30/9 F1 0.1 39* F1 39* GROSS PROFIT OR LOSS US 11.125.00

NET PROFIT OR LOSS FROM TRADES US

11,125.00

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| TRADE SETTLE | AT | 25 25 25 55 87 150 87 148 39 101 529 227 8 34 45 39 36 102 150 10 1 43 6 7 3 5 | SELL | CONTRA | CT DE | SCR | IPTION |
EX | TRAD PRIC | CC | DEBIT/CREDIT |
|---|----------------|--|-------|--------|-------|------------|--------|--------|----------------|----------|--------------|
| | F1 | | 11 | DEC 09 | NYM | LT | CRUDE | 07 | 81.40 | US | |
| | F1 | | 34 | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 81.41 | US | |
| 10/26/9 | F1 | | 3 | DEC 09 | NYM | LT | CRUDE | 07 | 81.42 | US | |
| | F1 | | 5 | DEC 09 | NYM | LT | CRUDE | 07 | 81.57 | US | |
| | F1 | | 5 | DEC 09 | NYM | LT | CRUDE | 07 | 81.58 | US | |
| | F1 | | 281 | DEC 09 | NYM | LT | CRUDE | 07 | 79.87 | US | |
| 10/29/9 | F1 | | 27 | DEC 09 | NYM | LT | CRUDE | 0.7 | 79.93 | US | |
| 10/29/9 | F1 | | 24 | DEC 09 | NYM | LT. | CRUDE | 07 | 79.94 | US | |
| 10/29/9
10/29/9 | F1
F1 | | , | DEC 05 | NTYM | TП | CRUDE | 07 | 79.95
80.00 | US | |
| 10/29/9 | F1 | | 31 | DEC 03 | NVM | LT. | CRUDE | 07 | 80.00 | US | |
| 10/29/9 | F1 | | 25 | DEC 03 | NYM | LT | CRUDE | 07 | 80.05 | US | |
| | F1 | 25 | 23 | DEC 09 | NYM | LT | CRIDE | 07 | 76.86 | US | |
| | F1 | 20 | 20 | DEC 09 | NYM | LT | CRUDE | 07 | 76.86 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 | NYM | LT | CRUDE | 07 | 76.87 | US | |
| 10/30/9 | F1 | | 19 | DEC 09 | NYM | LT | CRUDE | 07 | 76.88 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 76.90 | US | |
| 10/30/9 | F1 | 25 | | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 76.91 | US | |
| 10/30/9 | F1 | 55 | | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 76.92 | US | |
| 10/30/9
10/30/9
10/30/9
10/30/9 | F1 | 87 | | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 76.93 | US | |
| | F1 | | 3 | DEC 09 | NYM | LT | CRUDE | 07 | 76.94 | US | |
| 10/30/9 | F1
F1 | 150 | | DEC 09 | NYM | LT | CRUDE | 07 | 76.95 | US | |
| 10/30/9 | F1 | 0.7 | 41 | DEC 09 | NYM | LT | CRUDE | 07 | 76.95 | US | |
| 10/30/9 | FI | 87 | 3.46 | DEC 09 | NYM | LT | CRUDE | 0.7 | 76.96 | US | |
| | F1 | 140 | 146 | DEC 09 | NYM | LT. | CRUDE | 07 | 76.96
76.97 | US | |
| | F1
F1 | 140 | 13 | DEC 03 | NVM | LT. | CRUDE | 07 | 76.97 | US
US | |
| | F1 | 30 | 13 | DEC 03 | MVM | LT | CRUDE | 07 | 76.98 | US | |
| 10/20/0 | F1 | 37 | 55 | DEC 03 | NYM | LT | CRUDE | 07 | 76.98 | US | |
| 10/30/9
10/30/9
10/30/9
10/30/9 | F1
F1 | 101 | 33 | DEC 09 | NYM | LT | CRUDE | 07 | 76.99 | US | |
| 10/30/9 | F1 | 529 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.00 | US | |
| 10/30/9 | F1 | | 750 | DEC 09 | NYM | LT | CRUDE | 07 | 77.00 | US | |
| 10/30/9
10/30/9
10/30/9
10/30/9 | F1 | | 125 | DEC 09 | NYM | LT | CRUDE | 07 | 77.00 | US | |
| 10/30/9 | F1 | 227 | | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 77.01 | US | |
| 10/30/9 | F1 | | 3,750 | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 77.01 | US | |
| ., , . | F1 | 8 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.02 | US | |
| 10/30/9 | F1 | | 45 | DEC 09 | NYM | LT | CRUDE | 07 | 77.02 | US | |
| 10/30/9 | F1
F1
F1 | 34 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.03 | US | |
| 10/30/9 | F.I | 45 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.04 | US | |
| 10/30/9
10/30/9 | F1
F1 | 39 | | DEC 05 | NYM | LT | CRUDE | 07 | 77.05
77.06 | US | |
| 10/30/9 | F1 | 30 | 11 | DEC 03 | NVM | LT. | CRUDE | 07 | 77.06 | US | |
| 10/30/9 | F1 | 102 | 11 | DEC 02 | NVM | T.T | CRUDE | 07 | 77.07 | US | |
| 10/30/9
10/30/9
10/30/9
10/30/9 | F1 | 102 | 1.5 | DEC 09 | NYM | LT | CRUDE | 07 | 77.07 | US | |
| 10/30/9 | F1 | | 29 | DEC 09 | NYM | LT | CRUDE | 07 | 77.08 | US | |
| 10/30/9 | F1 | 150 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.10 | US | |
| 10/30/9 | F1 | 10 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.18 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 77.18 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 77.23 | US | |
| 10/30/9
10/30/9
10/30/9
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10/30/9 | F1 | 43 | | DEC 09 | NYM | $_{ m LT}$ | CRUDE | 07 | 77.25 | US | |
| 10/30/9 | F1 | 6 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.27 | US | |
| 10/30/9 | F1 | _ | 10 | DEC 09 | NYM | LT | CRUDE | 07 | 77.28 | US | |
| 10/30/9 | F1
F1 | 7 | | DEC 09 | NYM | LT | CRUDE | 07 | 77.38 | US | |
| | FI | 3 | | DEC 09 | MYM | LT | CKUDE | 07 | 77.40 | US | |
| 10/30/9 | F1 | 5 | | DEC 03 | NYM | LΤ | CKUDE | 0.7 | 77.53 | US | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606 (312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| TRADE SETTLE | AT | 5
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19
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3
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75 | SELL | CON' | TRACT D | ESCI | RIPTIO | N | EX | TRAD | PRIC | CC | DEBIT/CREDIT |
|--------------|----------|--|--------|------|---------|-------|--------|-----------|--------|--------|-------|-----|----------------|
| 10/30/9 | F1 | 5 | | DEC | 09 NYM | LT | CRUDE | | 07 | 77. | 58 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 NYM | LT | CRUDE | | 07 | 77. | 59 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 NYM | LT | CRUDE | | 07 | 77. | 61 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 NYM | LT | CRUDE | | 07 | 78. | 03 | US | |
| 10/30/9 | F1 | 8 | | DEC | 09 NYM | LT | CRUDE | | 07 | 78. | 05 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 22 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 25 | US | |
| 10/30/9 | F1 | 11 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 26 | US | |
| 10/30/9 | F1 | 19 | | DEC | 09 NYM | LT | CRUDE | | 0.7 | 79. | 27 | US | |
| 10/30/9 | F1 | .7 | | DEC | 09 NYM | LT | CRUDE | | 0.7 | 79. | 28 | US | |
| 10/30/9 | F.I | 3 | - | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 29 | US | |
| 10/30/9 | F1 | | 5 | DEC | OO MIN | LTT | CRUDE | | 07 | 79. | 42 | US | |
| 10/30/9 | F1 | | 5 | DEC | OO MYN | LTT | CRUDE | | 07 | 79. | 43 | US | |
| 10/30/9 | r1
r1 | | , | DEC | OO NVM | I TT | CKUDE | | 07 | 79. | 44 | US | |
| 10/30/9 | F1 | | Ω | DEC | 09 NVN | I I.T | CRUDE | | 07 | 79. | 40 | US | |
| 10/30/9 | F1 | 16 | O | DEC | 09 NYN | T.T | CRUDE | | 07 | 79. | 61 | US | |
| 10/30/9 | F1 | 3.390 | | DEC | 09 NYN | I T.T | CRUDE | | 07 | 79 | 87 | US | |
| 10/30/9 | F1 | 13 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 91 | US | |
| 10/30/9 | F1 | | 3 | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 91 | US | |
| 10/30/9 | F1 | 31 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 93 | US | |
| 10/30/9 | F1 | | 5 | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 93 | US | |
| 10/30/9 | F1 | 26 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 94 | US | |
| 10/30/9 | F1 | 20 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 95 | US | |
| 10/30/9 | F1 | 22 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 96 | US | |
| 10/30/9 | F1 | 75 | | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 98 | US | |
| 10/30/9 | F1 | | 5 | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 98 | US | |
| 10/30/9 | F1 | | 10 | DEC | 09 NYM | LT | CRUDE | | 07 | 79. | 99 | US | |
| 10/30/9 | F1 | 12 | | DEC | 09 NYM | LT | CRUDE | | 07 | 80. | 00 | US | |
| 10/30/9 | F1 | | 20 | DEC | 09 NYM | LT | CRUDE | | 07 | 80. | 00 | US | |
| 10/30/9 | F1 | 26 | | DEC | 09 NYM | LT | CRUDE | | 07 | 80. | 01 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 NYM | LT | CRUDE | | 0.7 | 80. | 02 | US | |
| 10/30/9 | F.I | 5 | 4 | DEC | 09 NYM | LT | CRUDE | | 07 | 80. | 04 | US | |
| 10/30/9 | F1 | 4 | 4 | DEC | OO NYN | LT | CRUDE | | 07 | 80. | 04 | US | |
| 10/30/9 | r1
r1 | 4 | 1 | DEC | OO NVM | I TT | CKUDE | | 07 | 90. | 0.7 | US | |
| 10/30/9 | F1 | 2 | 7 | DEC | OO NVN | TT | CRUDE | | 07 | ٥٥. | 07 | US | |
| 10/30/9 | F1 | 2 | 7 | DEC | 09 NYN | I T.T | CRUDE | | 07 | 80. | 10 | US | |
| 10/30/9 | F1 | | 2 | DEC | 09 NYM | LT | CRUDE | | 07 | 80. | 11 | US | |
| 10/30/9 | F1 | | 1 | DEC | 09 NYM | LT | CRUDE | | 07 | 80. | 16 | US | |
| 10/30/9 | F1 | | 1 | DEC | 09 NYM | LT | CRUDE | | 07 | 80. | 17 | TTC | |
| | F1 | 5,687* | 5,687* | | | | | GROS | SS PRO | FIT OF | LOSS | US | 8,679,620.00DR |
| | F1 | | | | | | NET 1 | PROFIT OR | LOSS | FROM T | RADES | US | 8,679,620.00DR |
| 10/26/9 | F1 | 22 | | JAN | 10 NYM | LT | CRUDE | | 07 | 82. | 12 | US | |
| 10/26/9 | F1 | 3 | | JAN | 10 NYM | LT | CRUDE | | 07 | 82. | | US | |
| 10/29/9 | F1 | 61 | | JAN | 10 NYM | LT | CRUDE | | 07 | 77. | | US | |
| 10/29/9 | F1 | 100 | | JAN | 10 NYM | LT | CRUDE | | 07 | 77. | | US | |
| 10/29/9 | F1 | 6 | | JAN | 10 NYM | LT | CRUDE | | 07 | 78. | 01 | US | |
| 10/29/9 | F1 | 163 | | JAN | 10 NYM | LT | CRUDE | | 07 | 78. | 02 | US | |
| 10/30/9 | F1 | 3,000 | | JAN | 10 NYM | LT | CRUDE | | 07 | 77. | 64 | US | |
| 10/30/9 | F1 | 1,135 | | JAN | 10 NYM | LT | CRUDE | | 07 | 77. | | US | |
| 10/30/9 | F1 | | 1,105 | JAN | 10 NYM | LT | CRUDE | | 07 | 77. | | US | |
| 10/30/9 | F1 | | 10 | JAN | 10 NYM | LT | CRUDE | | 07 | 80. | | US | |
| 10/30/9 | F1 | 22
3
61
100
6
163
3,000
1,135 | 40 | JAN | 10 NYM | LT | CRUDE | | 07 | 80. | 44 | US | |

STATEMENT DATE: OCT 30, 2009

30 S. WACKER DRIVE - SUITE 2020

CHICAGO, ILLINOIS 60606

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BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| TRADE SETTLE | | BUY | | | | | IPTION | | | | | DEBIT/CREDIT |
|----------------|----------------|-----------------|-------------|-------|--------------|----------|-----------|-----------|-----|----------------|----|--------------|
| 10/30/9 | F1 | | | | | NYM LT | CRUDE | | 07 | 80.45 | US | |
| 10/30/9 | F1 | | 70 | JAN | 10 | NYM LT | CRUDE | | 07 | 80.46 | US | |
| 10/30/9 | F1 | | 90 | JAN | 10 | NYM LT | | | 07 | 80.47 | US | |
| 10/30/9 | F1 | | 100 | JAN | 10 | NYM LT | CRUDE | | | 80.48 | US | |
| 10/30/9 | F1
F1 | | 75 | JAN | 10 | NYM LT | CRUDE | | 07 | 80.49 | US | |
| 10/30/9 | F1 | | 1,680 | JAN | 10 | NYM LT | CRUDE | | 07 | 80.50 | US | |
| 10/30/9 | F1 | | 1,300 | JAN | 10 | NYM LT | CRUDE | | 07 | 80.51 | US | |
| | F1 | 4,490* | 4,490* | | | | | GROSS | PRO | FIT OR LOSS | US | 9,449,820.00 |
| | F1 | | | | | | | | | FROM TRADES | | 9,449,820.00 |
| 10/30/9 | F1 | 49 | | | | | GAS | | 07 | 5.044 | US | |
| 10/30/9 | F1 | 49* | | | | NATURAL | | | 07 | | US | |
| | F1 | 49* | 49* | | | | | | | FIT OR LOSS | | 980.00 |
| | F1 | | | | | | NET PROF | TIT OR LO | DSS | FROM TRADES | US | 980.00 |
| 10/30/9 | F1 | | 1 | DEC | 09 | KC WHEA | T | | 08 | 4.95 3/4 | | |
| 10/30/9 | F1 | 1 | | DEC | 09 | KC WHEA | T | ~~~~ | 08 | 5.13 1/2 | | 222 525 |
| | F1 | 1* | 1* | | | | | | | FIT OR LOSS | | 887.50DR |
| | F1 | | | | | | NET PROF | FIT OR LO | OSS | FROM TRADES | US | 887.50DR |
| 10/30/9 | F2 | 5 | | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.91 | US | |
| 10/30/9 | F2 | | 5 | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.92 | US | |
| 10/30/9 | F2 | 30 | | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.93 | US | |
| 10/30/9 | F2 | | 30 | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.93 | US | |
| 10/30/9 | F2 | 1 | | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.94 | US | |
| 10/30/9 | F2 | 3 | 1 | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.94 | US | |
| 10/30/9 | F2 | | 4 | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.96 | US | |
| 10/30/9 | F2 | 3 | | DEC | 09 | ICE WTI | CRUDE | | 19 | 79.99 | US | |
| 10/30/9 | F2 | 1 | | DEC | 09 | ICE WTI | CRUDE | | 19 | 80.00 | US | |
| | F2 | 40* | 40* | | | | | GROSS | PRO | FIT OR LOSS | US | 80.00DR |
| | F2 | | | | | | NET PROF | TIT OR LO | OSS | FROM TRADES | US | 80.00DR |
| THE FOLLOWING | JOURNAL | ENTRIES HAVE BE | EN POSTED T | O YOU | IR A | ACCOUNT. | | | | | | |
| | AT | | | | | ESCRIPTI | | | | | CC | DEBIT/CREDIT |
| | | | | | | | | | | | | 10,000.00 |
| 10/30/9 | F1 | | | | | F2 TO F | | | | | US | 10,000.00 |
| 10/30/9 | F2 | | | TRF | FR | F2 TO F | .1 | | | | US | 10,000.00DR |
| MEMO OPTIONS O | FFSETTI | NG INFORMATION. | | | | | | | | | | |
| TRADE SETL | AT | BUY | | CON | ITR <i>I</i> | | | | | PRICE | | DEBIT/CREDIT |
| 10/09/9 | | 50 | | PUT | DE | | | | | .0900 | US | 45,000.00DR |
| 10/12/9 | F1 | 25 | | PUT | DE | EC 09 NY | M NAT GAS | E 4500 | 07 | .0670 | US | 16,750.00DR |
| 10/13/9 | F1 | 75 | | PUT | DE | EC 09 NY | M NAT GAS | E 4500 | 07 | .1050 | US | 78,750.00DR |
| 10/16/9 | F1 | 75
100 | | PUT | | | M NAT GAS | | | .1050
.0625 | US | 62,500.00DR |
| 10/30/9 | F1
F1
F1 | | 125 | PUT | | | M NAT GAS | | | .0800 | US | 100,000.00 |
| 10/30/9 | F1 | | | PUT | | | M NAT GAS | | | .0950 | US | 71,250.00 |
| 10/30/9 | F1 | 0504 | | PUT | DE | EC 09 NY | M NAT GAS | | | .1000 | | 50,000.00 |
| | | 250* | 250* | | | | | | | ION PREMIUM | | 203,000.00DR |
| | | | | | | | | | | ION PREMIUM | | 221,250.00 |
| | | | | | | | N | IET MEMO | OPT | ION PREMIUM | | 18,250.00 |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| * * * * * * | * * * | OPEI | N POSITI | O N S | * * * | * | * * * * * |
|-----------------|-------------------|-----------------|--|-----------------------|----------|----------|--|
| TRADE SETLLE AT | LONG | SHORT | CONTRACT DESCRIPTION | EX | PRICE | CC | DEBIT/CREDIT |
| F1
F1 | 1 | | DEC 10 CBT CORN
DEC 10 CBT CORN | 01
01
CLOSE | 4.11 3/4 | US
US | 2,337.50
450.00
2,787.50 |
| F1 | 8
8* | | DEC 09 CBT WHEAT | 01
CLOSE | 4.94 1/4 | US | 5,837.50DR
5,837.50DR |
| F1
F1 | 10
30
40* | | DEC 09 GOLD-COMEX
DEC 09 GOLD-COMEX | 04
04
CLOSE | 1040.40 | US
US | 19,000.00DR
48,850.00DR
67,850.00DR |
| F1 | 625
625* | | CALL DEC 09 CMX GOLD EX-11/2 | 1400 04
3/09 CLOSE | .10 | US | 6,250.00
6,250.00 |
| F1 | | 1,100
1,100* | CALL DEC 09 CMX GOLD EX-11/2 | 1500 04
3/09 CLOSE | .10 | US | 11,000.00DR
11,000.00DR |
| F1 | 475
475* | | CALL DEC 09 CMX GOLD EX-11/2 | 1600 04
3/09 CLOSE | .10 | US | 4,750.00
4,750.00 |
| F1
F1 | | | DEC 09 NYM LT CRUDE
DEC 09 NYM LT CRUDE | 07
07
CLOSE | 77.00 | US
US | 323,000.00
86,860.00
409,860.00 |
| F1
F1 | 500
500* | | CALL DEC 09 NYM LT CRUD
CALL DEC 09 NYM LT CRUD
137.2 DQ .343EX-11/1 | E 8000 07 | 1.60 | US
US | 800,000.00
160,000.00DR
640,000.00 |
| F1
F1 | 100
100* | | CALL DEC 09 NYM LT CRUD
CALL DEC 09 NYM LT CRUD
114.4-DQ .143EX-11/1 | E 8500 07 | . 57 | US
US | 513,000.00DR
57,000.00
456,000.00DR |
| F1
F1 | 500
500* | 100
100* | CALL DEC 09 NYM LT CRUD
CALL DEC 09 NYM LT CRUD
20.0 DQ .050EX-11/1 | E 9000 07 | .19 | US
US | 95,000.00
19,000.00DR
76,000.00 |
| F1
F1 | 125
11
136* | | JAN 10 NYM LT CRUDE
JAN 10 NYM LT CRUDE | 07
07
CLOSE | 77.64 | US
US | 308,500.00DR
4,180.00DR
312,680.00DR |
| F1 | | 100
100* | PUT DEC 09 NYM NAT GAS 7.3 DQ .073EX-11/2 | | .0325 | US | 32,500.00DR
32,500.00DR |
| F1
F1 | 50
50* | 50
50* | PUT DEC 09 NYM NAT GAS
PUT DEC 09 NYM NAT GAS
.0 DQ .577EX-11/2 | E 5250 07 | .4303 | US
US | 215,150.00
215,150.00DR
.00 |
| F1 | 100
100* | | PUT DEC 09 NYM HH NAT 7.2-DQ .072EX-11/2 | | .032 | US | 32,000.00
32,000.00 |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| mp.lp | 1. T. O.Y.G. | | GHODE | 90 | | | 227 | | DD TOT | | DEDIE (CDEDIE |
|---|------------------|--------------|---|----------------------|--------|-------------------------|-------------------------|--------------------|--------------------------|----------|--|
| TRADE SETLLE | AT LONG | | SHORT | CO. | NTRACT | DESCRIPTIO | JN | EX | PRICE | CC | DEBIT/CREDIT |
| | F1 | | 100
100* | | | 9 NYM WTI
.185EX-1 | | -125 07
9 CLOSE | .06 | US | 6,000.00DR
6,000.00DR |
| | F1 | | 13
13* | | 09 KC | WHEAT | | 08
CLOSE | 4.99 | US | 962.50DR
962.50DR |
| | F1 | 20
20* | | DEC | 09 IMM | EURO FX | | 16
CLOSE | 14.7260 | US | 36,000.00DR
36,000.00DR |
| | F1
F1 | 15 | | DEC | | I S&P 500
I S&P 500 | | 16
16 | | US
US | 35,375.00DR
24,312.50 |
| | | 15* | 15* | | | | | CLOSE | 1033.00 | | 11,062.50DR |
| | F2
F2 | 4
2
6* | | | | WTI CRUDI | | 19
19
CLOSE | 77.00 | US
US | 9,890.00
6,000.00DR
3,890.00 |
| BEGINNING BALAI
COMMISSION
CLEARING FEES | NCE | ** U | 5 DOLLARS * 823,151. 1,997. 28,868. | 79
05DR | | | | ** REG J | -YEN **
5,000
0 | * * | CONVERTED TOTAL** 834,098.17 2,001.15DR 28,878.75DR |
| EXCHANGE FEES EXECUTION FEES BROKERAGE FEES NFA FEES | | | 8,896.
200. | 00DR
75DR
06DR | | | 7.40DR
.00
.00 | | 0
0
0 | | 233.40DR
50.00DR
8,896.75DR
200.06DR |
| TOTAL FEES GROSS PROFIT OF OPTION PREMIUM | | | 38,191.
781,417.
253,750. | 50 | | 80 | 7.24DR
0.00DR
.00 | | 0
0
0 | | 38,258.96DR
781,337.50
253,750.00 |
| NET MEMO OP:
CASH AMOUNTS | LOSS FROM TRADES | 5 | 994,978.
18,250.
10,000. | 00 | | 10,000 | 1.34DR
.00
0.00DR | | 0
0
0 | | 994,827.39
18,250.00
.00 |
| ENDING BALANCE | | | 1,828,130. | 52 | | 739 | 9.76 | | 5,000 | | 1,828,925.56 |
| OPEN TRADE EQUITY NET MARKET VALUE ACCOUNT VALUE | UE OF OPTIONS | | 21,745.
1,806,385.
253,500.
2,059,885. | 52
00 | | 3,890
4,629
4,629 | 9.76
.00 | | 0
5,000
0
5,000 | | 17,855.00DR
1,811,070.56
253,500.00
2,064,570.57 |
| INITIAL MARGIN
MAINTENANCE MAI
EXCESS EQUITY
MARGIN DEFICIT
MTD COMM. | RGIN REQUIREMENT | Г | 312,940.
150,571.
1,493,445.
24,916. | 25
52
00 | | | | | 0
0
5,000
0 | | 345,940.00
183,571.25
1,493,500.80
28,370.24DR
25,149.85DR |
| | RSION RATE TO US | | 1.000000 | | | 1.00000 | | | 01105705
55.29 |) | .00000000
2,064,570.57 |

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - MASTER 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| **** | ********* | RELATED ACCOUNT | SUMMARY STATEMENT *** | ******* | * | |
|------|---------------------------------|-----------------|-----------------------|---------------------|------------------|---------------------|
| | ACCO | JNT BALANCE | OPEN TRADE EQUITY | SECURITY ON DEPOSIT | NET OPTION VALUE | NET LIQUIDATING VAL |
| L | A5176 F1 | 6,110,480.98 | 52,442.50 | 0.00 | 86,650.00 | 6,249,573.48 |
| L | A5177 F1 | 1,782,243.77- | 0.00 | 0.00 | 0.00 | 1,782,243.77- |
| L | A5178 F1 | 43,900.00- | 0.00 | 0.00 | 0.00 | 43,900.00- |
| L | A5181 F1 | 1,922,167.33- | 0.00 | 0.00 | 166,850.00 | 1,755,317.33- |
| L | A5182 F1 | 95,228.56- | 14,500.00 | 0.00 | 0.00 | 80,728.56- |
| L | A5183 F1 | 517,746.23- | 0.00 | 0.00 | 0.00 | 517,746.23- |
| L | A5187 F1 | 371,291.10- | 88,687.50- | 0.00 | 0.00 | 459,978.60- |
| L | A5188 F1 | 444,245.53 | 0.00 | 0.00 | 0.00 | 444,245.53 |
| L | A5189 F1 | 5,981.00 | 0.00 | 0.00 | 0.00 | 5,981.00 |
| | | 1,828,130.52 | 21,745.00- | 0.00 | 253,500.00 | 2,059,885.52 |
| CONV | ERSION RATE TO US
1.00000000 | | 21,745.00- | 0.00 | 253,500.00 | 2,059,885.52 |
| L | A5176 F2 | 2,022,991.20- | 3,890.00 | 0.00 | 0.00 | 2,019,101.20- |
| L | A5177 F2 | 2,013,000.00 | 0.00 | 0.00 | 0.00 | 2,013,000.00 |
| L | A5182 F2 | 10,730.96 | 0.00 | 0.00 | 0.00 | 10,730.96 |
| | TOTAL F2 | 739.76 | 3,890.00 | 0.00 | 0.00 | 4,629.76 |
| CONV | ERSION RATE TO US | 739.76 | 3,890.00 | 0.00 | 0.00 | 4,629.76 |
| L | A5176 RJ | 5,000 | 0 | 0 | 0 | 5,000 |
| | TOTAL RJ | 5,000 | 0 | 0 | 0 | 5,000 |
| CONV | ERSION RATE TO US
0.01105705 | 55.28 | 0.00 | 0.00 | 0.00 | 55.28 |
| CONV | ERTED TOTAL | | | | | |
| | | 1,828,925.56 | 17,855.00- | 0.00 | 253,500.00 | 2,064,570.56 |

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

BES CAPITAL LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

THE FOLLOWING TRADES HAVE BEEN MADE THIS DAY FOR YOUR ACCOUNT AND RISK.

| TRADE SETL | AT | BUY | SELL | CONTRACT DESCRIPTION | EX | TRAD PRIC | | |
|------------|----|-----|------|---|----|-----------|----|--|
| 10/30/9 | F1 | 25 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.86 | US | |
| 10/30/9 | F1 | | 20 | DEC 09 NYM LT CRUDE | 07 | 76.86 | US | |
| 10/30/9 | F1 | | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | | 76.87 | US | |
| 10/30/9 | F1 | | 19 | DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 76.88 | US | |
| 10/30/9 | | | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.90 | US | |
| 10/30/9 | | 25 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.91 | US | |
| 10/30/9 | F1 | 55 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.92 | US | |
| 10/30/9 | F1 | 87 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | 76.93 | US | |
| 10/30/9 | F1 | | 3 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 76.94 | US | |
| 10/30/9 | F1 | 150 | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 76.95 | US | |
| 10/30/9 | | | 41 | GLOBEX TRADES | | 76.95 | US | |
| 10/30/9 | F1 | 87 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | 76.96 | US | |
| 10/30/9 | F1 | | 146 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.96 | US | |
| 10/30/9 | F1 | 148 | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 76.97 | US | |
| 10/30/9 | F1 | | 13 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 76.97 | US | |
| 10/30/9 | F1 | 39 | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 76.98 | US | |
| 10/30/9 | | | | GLOBEX TRADES | | 76.98 | US | |
| 10/30/9 | | 101 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | 76.99 | US | |
| 10/30/9 | F1 | 529 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | 77.00 | US | |
| 10/30/9 | | | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | 77.00 | US | |
| 10/30/9 | | 227 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | 77.01 | US | |
| 10/30/9 | | 8 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES
DEC 09 NYM LT CRUDE | 07 | 77.02 | US | |
| 10/30/9 | | | | GLOBEX TRADES | | 77.02 | US | |
| 10/30/9 | | 34 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | 77.03 | US | |
| 10/30/9 | F1 | 45 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.04 | US | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| | | | | CONTRACT DESCRIPTION | | | | |
|---------------|----------|--------|----|---|-----|-------|----------|--|
| | | | | | | | US | |
| 10/30/9 | F1 | 36 | | DEC 09 NYM LT CRUDE | 07 | 77.06 | US | |
| 10/30/9 | F1 | | 11 | DEC 09 NYM LT CRUDE | 07 | 77.06 | US | |
| 10/30/9 | F1 | 102 | | DEC 09 NYM LT CRUDE | 07 | 77.07 | US | |
| 10/30/9 | F1 | | 15 | DEC 09 NYM LT CRUDE | 07 | 77.07 | US | |
| 10/30/9 | F1 | | 29 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.08 | US | |
| 10/30/9 | F1 | 150 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.10 | US | |
| 10/30/9 | F1 | 10 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.18 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.18 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 NYM LT CRUDE GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 77.23 | US | |
| 10/30/9 | F1 | 43 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.25 | US | |
| 10/30/9 | F1 | 6 | | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 77.27 | US | |
| 10/30/9 | F1 | | 10 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 77.28 | US | |
| 10/30/9 | PI | , | | CLORFY TRADES | 07 | 77.30 | US | |
| 10/30/9 | F1 | 3
5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | | | US | |
| 10/30/9 | F1 | 5 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES
DEC 09 NYM LT CRUDE | 07 | 77.53 | US | |
| 10/30/9 | F1 | 5 | | GLOBEX TRADES | 07 | 77.58 | US | |
| 10/30/9 | F1 | 5 | | GLOBEX TRADES | 07 | 77.59 | US | |
| 10/30/9 | F.I | 5 | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 77.61 | US
US | |
| 10/30/9 | rı
rı | 8 | | GLOBEX TRADES | 07 | 78.03 | US | |
| 10/30/9 | r1
p1 | 0 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 70.05 | US | |
| 10/30/9 | F1 | 5 | | GLOBEX TRADES DEC 09 NYM LT CRIDE | 07 | 79.22 | US | |
| 10/30/9 | F1 | 11 | | GLOBEX TRADES DEC 09 NYM LT CRIDE | 07 | 79 26 | US | |
| 10/30/9 | F1 | 19 | | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 79 27 | US | |
| 10/30/9 | F1 | 7 | | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 79.28 | US | |
| | | 3 | | GLOBEX TRADES | 0.7 | | US | |
| 10/30/9 | | - | 5 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 79.34 | US | |
| - · · · · · · | = | | J | GLOBEX TRADES | | | | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013

ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| TRADE SETL | AT | BUY | SELL | CONTRACT DESCRIPTION | EX | TRAD PRIC | C CC | DEBIT/CREDIT |
|------------|----|-------|------|---|----|-----------|----------|--------------|
| 10/30/9 | F1 | | 5 | DEC 09 NYM LT CRUDE | 07 | 79.43 | US | |
| 10/30/9 | F1 | | 7 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES GLOBEX TRADES | 07 | 79.44 | US | |
| 10/30/9 | F1 | | 5 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.47 | US | |
| 10/30/9 | F1 | | 8 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.48 | US | |
| 10/30/9 | F1 | 16 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.61 | US | |
| 10/30/9 | F1 | 3,390 | | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 79.87 | US | |
| 10/30/9 | F1 | 13 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.91 | US | |
| 10/30/9 | F1 | | 3 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.91 | US | |
| 10/30/9 | F1 | 31 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.93 | US | |
| 10/30/9 | F1 | | 5 | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.93 | US | |
| 10/30/9 | F1 | 26 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.94 | US | |
| 10/30/9 | F1 | 20 | | GLOBEX TRADES | 07 | 79.95 | US | |
| 10/30/9 | F1 | 22 | | DEC 09 NYM LT CRUDE
GLOBEX TRADES | 07 | 79.96 | US
US | |
| 10/30/9 | F1 | /5 | _ | GLOBEX TRADES | 07 | 79.98 | US | |
| 10/30/9 | F1 | | 10 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 79.90 | US | |
| 10/30/9 | F1 | 12 | 10 | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 80.00 | US | |
| 10/30/9 | F1 | | 20 | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 80.00 | US | |
| | | 26 | | GLOBEX TRADES
DEC 09 NYM LT CRUDE | | 80.01 | US | |
| | | 5 | | GLOBEX TRADES
DEC 09 NYM LT CRUDE | 07 | 80.02 | US | |
| 10/30/9 | F1 | 5 | | GLOBEX TRADES
DEC 09 NYM LT CRUDE | 07 | 80.04 | US | |
| 10/30/9 | F1 | | 4 | GLOBEX TRADES
DEC 09 NYM LT CRUDE | 07 | 80.04 | US | |
| 10/30/9 | F1 | 4 | | GLOBEX TRADES
DEC 09 NYM LT CRUDE | 07 | 80.05 | US | |
| 10/30/9 | F1 | | 4 | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 80.07 | US | |
| 10/30/9 | F1 | 2 | | GLOBEX TRADES DEC 09 NYM LT CRUDE | 07 | 80.09 | US | |
| 10/30/9 | F1 | | 7 | DEC 09 NYM LT CRUDE | 07 | 80.10 | US | |
| 10/30/9 | F1 | | 2 | GLOBEX TRADES DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 80.11 | US | |
| 10/30/9 | F1 | | 1 | DEC 09 NYM LT CRUDE GLOBEX TRADES | 07 | 80.16 | US | |

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STATEMENT DATE: OCT 30, 2009

30 S. WACKER DRIVE - SUITE 2020

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING WI 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| 10/30/9 | | | | | CONTRACT DESCRIPTION | | | |
|--|---------|------------|--------|--------|------------------------------|-----------------|------|------------|
| Fi | | | | 1 | DEC 09 NYM LT CRUDE | 07 80.17 | US | |
| FI | | F1 | 5,687* | 645* | | COMMISSION | US | 633.20DR |
| FI | | | | | AVERAGE LONG: 78.871 | CLEARING FEES | US | 9,181.40DR |
| FI | | F1 | | | AVERAGE SHORT: 77.392 | NFA FEES | US | 63.32DR |
| F1 | | F1 | | | | | | |
| 10/30/9 | | F1 | | | | | | |
| 10/30/9 | | | | | | | | |
| 10/30/9 | 10/30/9 | F1 | 1,135 | | | 07 77.64 | US | |
| 10/30/9 | | | | | GLOBEX TRADES | | | |
| 10/30/9 | 10/30/9 | F.T | | 1,105 | | 07 77.64 | US | |
| 10/30/9 F1 | 10/20/0 | | | 1.0 | | 07 00 43 | **** | |
| 10/30/9 | 10/30/9 | P.T | | 10 | JAN IU NYM LT CRUDE | 07 80.43 | US | |
| 10/30/9 | 10/20/0 | c 1 | | 40 | GLUBEX IRADES | 07 90 44 | TTC | |
| 10/30/9 | 10/30/9 | F I | | 40 | | 07 00.44 | US | |
| CLOREX TRADES 10/30/9 F1 | 10/30/9 | F1 | | 20 | JAN 10 NVM LT CRIDE | 07 80 45 | TTC | |
| 10/30/9 F1 | 10/30/2 | r I | | 20 | GLOBEX TRADES | 07 00.43 | 05 | |
| CLOBEX TRADES 10/30/9 | 10/30/9 | F1 | | 70 | JAN 10 NYM LT CRIDE | 07 80 46 | IIS | |
| CLOBEX TRADES 10/30/9 | 10/30/3 | | | , 0 | GLOBEX TRADES | 07 00.10 | 0.0 | |
| CLOBEX TRADES 10/30/9 | 10/30/9 | F1 | | 90 | JAN 10 NYM LT CRUDE | 07 80.47 | US | |
| 10/30/9 | | | | | GLOBEX TRADES | | | |
| 10/30/9 | 10/30/9 | F1 | | 100 | JAN 10 NYM LT CRUDE | 07 80.48 | US | |
| F1 1,135* 4,490* COMMISSION US 562.50DR F1 AVERAGE LONG: 77.640 CLEARING FEES US 8,156.25DR F1 AVERAGE SHORT: 79.796 NFA FEES US 281.25DR F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 281.25DR F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 1.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 TRADE BROKERAGE US 105.00DR NIGHT TRADE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARD | | | | | | | | |
| F1 1,135* 4,490* COMMISSION US 562.50DR F1 AVERAGE LONG: 77.640 CLEARING FEES US 8,156.25DR F1 AVERAGE SHORT: 79.796 NFA FEES US 281.25DR F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 281.25DR F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 1.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 TRADE BROKERAGE US 105.00DR NIGHT TRADE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARD | 10/30/9 | F1 | | 75 | JAN 10 NYM LT CRUDE | 07 80.49 | US | |
| F1 1,135* 4,490* COMMISSION US 562.50DR F1 AVERAGE LONG: 77.640 CLEARING FEES US 8,156.25DR F1 AVERAGE SHORT: 79.796 NFA FEES US 281.25DR F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 281.25DR F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 1.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 TRADE BROKERAGE US 105.00DR NIGHT TRADE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARD | | | | | GLOBEX TRADES | | | |
| F1 1,135* 4,490* COMMISSION US 562.50DR F1 AVERAGE LONG: 77.640 CLEARING FEES US 8,156.25DR F1 AVERAGE SHORT: 79.796 NFA FEES US 281.25DR F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 281.25DR F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 1.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 TRADE BROKERAGE US 105.00DR NIGHT TRADE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARD | 10/30/9 | F1 | | 1,680 | JAN 10 NYM LT CRUDE | 07 80.50 | US | |
| F1 1,135* 4,490* COMMISSION US 562.50DR F1 AVERAGE LONG: 77.640 CLEARING FEES US 8,156.25DR F1 AVERAGE SHORT: 79.796 NFA FEES US 281.25DR F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 281.25DR F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 1.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 TRADE BROKERAGE US 105.00DR NIGHT TRADE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARD | | | | | GLOBEX TRADES | | | |
| F1 1,135* 4,490* COMMISSION US 562.50DR F1 AVERAGE LONG: 77.640 CLEARING FEES US 8,156.25DR F1 AVERAGE SHORT: 79.796 NFA FEES US 281.25DR F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 281.25DR F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 1.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 TRADE BROKERAGE US 105.00DR NIGHT TRADE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARDE TARDE TARDE TO PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE TARDE TARD | 10/30/9 | F1 | | 1,300 | JAN 10 NYM LT CRUDE | 07 80.51 | US | |
| F1 AVERAGE SHORT: 79.796 NFA FEES US 56.25DR BROKERAGE US 281.25DR 10/30/9 F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 32,500.00 NIGHT TRADE F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 BROKERAGE US 105.00DR F1 DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 125 PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR F1 AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 F1 BROKERAGE US 262.50DR F1 BROKERAGE US 262.50DR F1 BROKERAGE US 262.50DR F1 BROKERAGE US 262.50DR | | | | | | | | |
| F1 AVERAGE SHORT: 79.796 NFA FEES US 56.25DR BROKERAGE US 281.25DR 10/30/9 F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 32,500.00 NIGHT TRADE F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 BROKERAGE US 105.00DR F1 DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 125 PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR F1 AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 F1 BROKERAGE US 262.50DR F1 BROKERAGE US 262.50DR F1 BROKERAGE US 262.50DR F1 BROKERAGE US 262.50DR | | | 1,135* | 4,490* | | COMMISSION | US | 562.50DR |
| F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 32,500.00 NIGHT TRADE F1 100* EX-11/23/09 COMMISSION US 10.00DR F1 AVERAGE SHORT: .032 CLEARING FEES US 145.00DR F1 BROKERAGE US 105.00DR F1 BROKERAGE US 105.00DR F1 DEC 09 NYM NAT GAS E 4500 07 .0800 US 32,500.00 10/30/9 F1 75 PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE F1 TRADE F1 F | | | | | AVERAGE LONG: 77.640 | CLEARING FEES | US | |
| 10/30/9 F1 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 32,500.00 NIGHT TRADE F1 100* EX-11/23/09 COMMISSION US 10.00DR AVERAGE SHORT: .032 CLEARING FEES US 145.00DR BROKERAGE US 105.00DR BROKERAGE US 105.00DR OPTION PREMIUM US 32,500.00 10/30/9 F1 125 PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 75 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 50,000.00 NIGHT TRADE | | | | | AVERAGE SHORT: 79.796 | | | |
| NIGHT TRADE | | F1 | | | | BROKERAGE | US | 281.25DR |
| NIGHT TRADE | 10/30/9 | F1 | | 100 | DIT DEC 09 NVM NAT CAS E 400 | 10 07 0325 | TTC | 32 500 00 |
| F1 | 10/30/3 | | | | | .0323 | OD | 32,300.00 |
| F1 | | F1 | | | EX-11/23/09 | COMMISSION | IIIS | 10 00DR |
| F1 | | F1 | | | AVERAGE SHORT: .032 | CLEARING FEES | US | 145.00DR |
| 10/30/9 F1 125 PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 75 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 BROKERAGE US 262.50DR F1 | | | | | | NFA FEES | US | 1.00DR |
| 10/30/9 F1 125 PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 75 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 BROKERAGE US 262.50DR F1 | | | | | | BROKERAGE | US | 105.00DR |
| 10/30/9 F1 125 PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US 100,000.00 NIGHT TRADE 10/30/9 F1 75 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 BROKERAGE US 262.50DR F1 | | F1 | | | | OPTION PREMIUM | US | 32,500.00 |
| NIGHT TRADE 10/30/9 F1 75 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 | | | | | | | | |
| 10/30/9 F1 75 PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 71,250.00 NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR F1 AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 BROKERAGE US 2.50DR F1 BROKERAGE US 262.50DR | 10/30/9 | F1 | | 125 | PUT DEC 09 NYM NAT GAS E 450 | 00 07 .0800 | US | 100,000.00 |
| NIGHT TRADE 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 | | | | | | | | |
| 10/30/9 F1 50 PUT DEC 09 NYM NAT GAS E 4500 07 .1000 US 50,000.00 NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR F1 AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 NFA FEES US 2.50DR F1 BROKERAGE US 262.50DR | 10/30/9 | F1 | | | | 00 07 .0950 | US | 71,250.00 |
| NIGHT TRADE F1 250* EX-11/23/09 COMMISSION US 25.00DR F1 AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 NFA FEES US 2.50DR F1 BROKERAGE US 262.50DR | | | | | | | | |
| F1 250* EX-11/23/09 COMMISSION US 25.00DR F1 AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 NFA FEES US 2.50DR F1 BROKERAGE US 262.50DR | 10/30/9 | F1 | | | | 00 07 .1000 | US | 50,000.00 |
| F1 AVERAGE SHORT: .088 CLEARING FEES US 362.50DR F1 NFA FEES US 2.50DR F1 BROKERAGE US 262.50DR | | | | | NIGHT TRADE | | | |
| F1 NFA FEES US 2.50DR
F1 BROKERAGE US 262.50DR | | | | 250* | EX-11/23/09 | COMMISSION | US | 25.00DR |
| F1 NFA FEES US 2.50DR
F1 BROKERAGE US 262.50DR | | | | | AVERAGE SHORT: .088 | CLEARING FEES | US | 362.50DR |
| | | | | | | | | |
| FI OFFICE PREMIUM US 221,250.00 | | | | | | ODTION DREMITIN | . UD | 202.5UDK |
| | | r I | | | | OFITON PREMIUM | . 05 | 221,230.00 |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

07 80.01 US

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING WI 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

10/29/9

F1

PAGE 5

| | | BUY | | CONTRACT DESCRI | | | | | DEBIT/CREDIT |
|---------------|----------------|-----------------|--------------|---|-------------|------|--|-----|-----------------------|
| | | 49 | | DEC 09 NATURAL GLOBEX TRADES | GAS | 07 | 5.044 | US | |
| 10/30/9 | F1 | | | DEC 09 NATURAL GLOBEX TRADES | GAS | 07 | 5.046 | US | |
| | F1 | 49* | 49* | | | | COMMISSION | | 9.80DR |
| | F1 | | | AVERAGE LONG: | 5.044 | CLE | CARING FEES | US | 142.10DR |
| | F1 | | | AVERAGE SHORT: | | | NFA FEES | | .98DR |
| | F1 | | | | | | BROKERAGE | US | 4.90DR |
| 10/30/9 | F2 | 5
30
1 | | DEC 09 ICE WTI
DEC 09 ICE WTI
DEC 09 ICE WTI
DEC 09 ICE WTI | CRUDE | 19 | 79.91 | US | |
| 10/30/9 | F2 | | 5 | DEC 09 ICE WTI | CRUDE | 19 | 79.92 | US | |
| | F2 | 30 | | DEC 09 ICE WTI | CRUDE | 19 | 79.93 | US | |
| 10/30/9 | F2
F2 | | 3.0 | DEC 09 ICE WTI | CRUDE | 19 | 79.93 | US | |
| 10/30/9 | F2 | 1 | | DEC 09 ICE WTI | CRUDE | 19 | 79.93
79.94
79.94
79.96
79.99
80.00
COMMISSION | US | |
| 10/30/9 | F2 | _ | 1 | DEC 09 ICE WTT | CRIDE | 19 | 79 94 | US | |
| 10/30/9 | F2
F2
F2 | 1
3
3 | 4 | DEC 09 ICE WTI DEC 09 ICE WTI DEC 09 ICE WTI DEC 09 ICE WTI | CRIIDE | 19 | 79 96 | US | |
| 10/30/9 | F2 | 3 | - | DEC 09 ICE WIT | CRIDE | 19 | 79 99 | US | |
| 10/30/9 | F2
F2 | 3 | | DEC 09 ICE WTI
DEC 09 ICE WTI | CRUDE | 10 | 80 00 | US | |
| 10/30/5 | F2 | 42* | 40 ± | | CRODE | 10 | COMMISSION | TTC | 4.10DR |
| | F2 | 14 | 10 | AVERAGE LONG: | 79 937 | CLE | COMMISSION | TTC | 9.84DR |
| | F2 | | | AVERAGE CONG: | | | | | 57.40DR |
| WE HAVE ACCEP | TED THE | FOLLOWING TRADE | S FOR YOUR A | CCOUNT FROM THE | INDICATED F | IRM. | | | |
| TRADE SETL | | | | CONTRACT DES | | | PRICE | | DEBIT/CREDIT |
| | | | | | | 07 | | | |
| 10/30/9 | F1 | | | DEC 09 NYM LT C | | | | | |
| 10/30/9 | F1 | | 3,750 | DEC 09 NYM LT C | RUDE | 0 / | //.01 | US | 450 0000 |
| | F1 | | 4,500* | | 77 000 | | COMMISSION | | |
| | F1 | | | AVERAGE SHORT: | //.008 | CLE | | | |
| | F1
F1 | | | | | | NFA FEES
BROKERAGE | | 45.00DR
4,725.00DR |
| | | | | | | | | | · |
| 10/30/9 | | 3,000 | | JAN 10 NYM LT C | RUDE | | | | |
| | F1 | 3,000* | | | | | COMMISSION | | |
| | F1 | | | AVERAGE LONG: | 77.640 | CLE | | | · |
| | F1 | | | | | | NFA FEES | | 30.00DR |
| | F1 | | | | | | BROKERAGE | US | 3,150.00DR |
| * * * * | * * | * * * | P U R | C H A S E | & S | ALE | * * | * | * * * * * |
| TRADE SETTLE | | | | CONTRACT DESCRI | | | | | DEBIT/CREDIT |
| | | | | DEC 09 NYM LT C | | | 81.40 | US | |
| | F1 | | 11 | DEC 09 NYM LI C | RUDE | | | | |
| 10/26/9 | F1 | | 34 | DEC 09 NYM LT C | RUDE | | 81.41 | US | |
| 10/26/9 | F.T | | 3 | DEC 09 NYM LT C | RUDE | 0.5 | 81.42 | US | |
| 10/26/9 | F1
F1
F1 | | 5 | DEC 09 NYM LT C | KUDE | | 81.57 | US | |
| 10/26/9 | F1 | | 5 | DEC U9 NYM LT C | KUDE | 0.7 | 81.58 | US | |
| 10/29/9 | F1
F1 | | 281 | DEC 09 NYM LT C | KUDE | 0 / | 79.87 | US | |
| 10/29/9 | F1 | | 27 | DEC U9 NYM LT C | KUDE | 07 | 79.93 | US | |
| 10/29/9 | F1 | | 24 | DEC 09 NYM LT C | RUDE | 07 | 79.94 | US | |
| 10/29/9 | F1 | | 7 | DEC 09 NYM LT C | KUDE | | 79.95 | US | |
| 10/29/9 | F1 | | 89 | DEC 09 NYM LT C | KUDE | 07 | 80.00 | US | |

31 DEC 09 NYM LT CRUDE

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606 (312) 756-6300

BES CAPITAL LLC - TRADING 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| TRADE SETTLE | AT | BUY 25 25 55 87 150 87 148 39 101 529 227 8 34 45 39 36 102 150 10 1 43 6 7 3 5 5 5 5 5 5 11 19 7 3 | SELL | CON | TRAC | r des | SCRIF | TION |
EX | TRA | D PRIC | CC | DEBIT/CREDIT |
|--------------------|----------|---|-------|-----|-------|-----------|--------|------|--------|-----|--------------|----|--------------|
| 10/29/9 | F1 | | 25 | DEC | 09 1 | NYN I | LT CF | UDE | 07 | 8 | 0.05 | US | |
| 10/30/9 | F1 | 25 | | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.86 | US | |
| 10/30/9 | F1 | | 20 | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.86 | US | |
| 10/30/9 | F1 | | 10 | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.87 | US | |
| 10/30/9 | F1 | | 19 | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.88 | US | |
| 10/30/9 | F1 | | 1 | DEC | 09 1 | NYN I | LT CF | UDE | 07 | 7 | 6.90 | US | |
| 10/30/9 | F1 | 25 | | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.91 | US | |
| 10/30/9 | F1 | 55 | | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.92 | US | |
| 10/30/9 | F1 | 87 | | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | 6.93 | US | |
| 10/30/9 | F1 | | 3 | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | б.94 | US | |
| 10/30/9 | F1 | 150 | | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | 6.95 | US | |
| 10/30/9 | F1 | | 41 | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | 6.95 | US | |
| 10/30/9 | F1 | 87 | | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | 6.96 | US | |
| 10/30/9 | F1 | | 146 | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | 6.96 | US | |
| 10/30/9 | F1 | 148 | | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.97 | US | |
| 10/30/9 | F1 | | 13 | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.97 | US | |
| 10/30/9 | F1 | 39 | | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.98 | US | |
| 10/30/9 | F1 | | 55 | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.98 | US | |
| 10/30/9 | F1 | 101 | | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 6.99 | US | |
| 10/30/9 | F1 | 529 | | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 7.00 | US | |
| 10/30/9 | F1 | | 750 | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 7.00 | US | |
| 10/30/9 | F1 | | 125 | DEC | 09 1 | NYN 1 | LT CF | RUDE | 07 | 7 | 7.00 | US | |
| 10/30/9 | F1 | 227 | | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | 7.01 | US | |
| 10/30/9 | F1 | | 3,750 | DEC | 09 1 | NYM 1 | LT CF | UDE | 07 | 7 | 7.01 | US | |
| 10/30/9 | F1 | 8 | | DEC | 09 1 | NYN 1 | LT CF | UDE | 07 | 7 | 7.02 | US | |
| 10/30/9 | F1 | | 45 | DEC | 09 1 | NYM 1 | LT CF | UDE | 07 | 7 | 7.02 | US | |
| 10/30/9 | F1 | 34 | | DEC | 09 1 | NYM 1 | LT CF | UDE | 07 | 7 | 7.03 | US | |
| 10/30/9 | F1 | 45 | | DEC | 09 1 | NYM 1 | LT CF | UDE | 07 | 7 | 7.04 | US | |
| 10/30/9 | F1 | 39 | | DEC | 09 1 | NYM I | LT CF | UDE | 07 | 7 | 7.05 | US | |
| 10/30/9 | F1 | 36 | | DEC | 09 1 | NYM 1 | LT CF | RUDE | 0.7 | .7 | 7.06 | US | |
| 10/30/9 | F1 | 100 | 11 | DEC | 09 1 | NYM 1 | LT CF | UDE | 0.7 | .7 | 7.06 | US | |
| 10/30/9 | F1 | 102 | 1.5 | DEC | 09 1 | NYM I | LT. CF | UDE | 07 | -/ | 7.07 | US | |
| 10/30/9 | F.T | | 15 | DEC | 09 1 | NYMI | LT CE | CUDE | 0 / | / | 7.07 | US | |
| 10/30/9 | F1 | 150 | 29 | DEC | 09 1 | NYM I | LT. CF | UDE | 07 | -/ | 7.08 | US | |
| 10/30/9 | F.T | 150 | | DEC | 09 1 | NYM I | LT CE | CUDE | 07 | 7 | 7.10 | US | |
| 10/30/9 | rı
mı | 10 | 1.0 | DEC | 09 1 | N Y IVI | LI CR | CUDE | 07 | 7 | 7.18
7.10 | US | |
| 10/30/9 | rı
rı | 1 | 10 | DEC | 09 1 | N Y IVI I | LI CR | CODE | 07 | 7 | 7.18 | US | |
| 10/30/9
10/30/9 | r1
r1 | 12 | | DEC | ו פט | NIIVI I | ы ск | TUDE | 07 | 7 | 7.23 | US | |
| 10/30/9 | F1 | 43 | | DEC | 00 1 | N I IVI J | LI CR | UDE | 07 | 7 | 7.25 | US | |
| 10/30/9 | r1
r1 | 0 | 1.0 | DEC | ו פט | NIIVI I | ы ск | TUDE | 07 | 7 | 7 20 | US | |
| 10/30/9 | F1 | 7 | 10 | DEC | 09 1 | NTVM 1 | LT CE | TIDE | 07 | 7 | 7.20 | US | |
| 10/30/9 | F1 | 3 | | DEC | 00 1 | TVM 1 | LT CE | TIDE | 07 | 7 | 7 40 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 1 | TVM I | LT CE | TIDE | 07 | 7 | 7 53 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 1 | TVM I | LT CE | TIDE | 07 | 7 | 7 58 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 1 | TYM 1 | LT CE | TIDE | 07 | 7 | 7 59 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 1 | TYM I | LT CE | TIDE | 07 | 7 | 7 61 | US | |
| 10/30/9 | F1 | 5 | | DEC | 09 1 | VYM I | LT CE | TIDE | 0.7 | 7 | 8 03 | US | |
| 10/30/9 | F1 | 8 | | DEC | 09 1 | VYM I | LT CE | TIDE | 0.7 | 7 | 8 05 | US | |
| 10/30/9 | F1 | 5 | | DEC | 0.9 1 | NYM 1 | LT CE | UDE | 07 | 7 | 9.22 | US | |
| 10/30/9 | F1 | 5 | | DEC | 0.9 1 | NYM 1 | LT CE | UDE | 07 | 7 | 9.25 | US | |
| 10/30/9 | F1 | 11 | | DEC | 0.9 1 | NYM 1 | LT CE | UDE | 07 | 7 | 9.26 | US | |
| 10/30/9 | F1 | 19 | | DEC | 09 1 | NYM I | LT CF | UDE | 07 | 7 | 9.27 | US | |
| 10/30/9 | F1 | 7 | | DEC | 09 1 | NYN I | LT CF | UDE | 07 | 7 | 9.28 | US | |
| 10/30/9 | F1 | 3 | | DEC | 09 1 | NYM 1 | LT CR | UDE | 07 | 7 | 9.29 | US | |
| • - | - | _ | | | | _ | | | | | - | | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606 (312) 756-6300

BES CAPITAL LLC - TRADING W 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

PAGE 7

| | | BUY | | | | | | N | | | | | DEBIT/CREDIT |
|--------------------|----------|--|--------|--------|---------|------------|----------------|-------------|-----|----------------|-----|----------|----------------|
| 10/30/9 | | 16
3,390
13
31
26 | | | | | | | 07 | 79.34 | | US | |
| 10/30/9 | F1 | | 5 | DEC 0 | 9 NYM | $_{ m LT}$ | CRUDE | | 07 | 79.43 | | US | |
| 10/30/9 | F1 | | 7 | DEC 0 | 9 NYM | $_{ m LT}$ | CRUDE | | 07 | 79.44 | | US | |
| 10/30/9 | F1 | | 5 | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 79.47 | | US | |
| 10/30/9 | F1 | | 8 | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 79.48 | | US | |
| | F1 | 16 | | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 79.61 | | US | |
| | F1 | 3,390 | | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 79.87 | | US | |
| 10/30/9 | F1 | 13 | | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 79.91 | | US | |
| 10/30/9 | F1 | | 3 | DEC 0 | 9 NYM | LT | CRUDE | | 0.7 | 79.91 | | US | |
| 10/30/9 | F1 | 31 | _ | DEC 0 | 9 NYM | LT | CRUDE | | 0.7 | 79.93 | | US | |
| 10/30/9 | F1 | 0.6 | 5 | DEC 0 | 9 NYM | LT | CRUDE | | 0.7 | 79.93 | | US | |
| 10/30/9 | F1 | 26 | | | | | | | 07 | 79.94 | | US | |
| 10/30/9 | F1 | 20 | | | | | CRUDE | | 07 | 79.95 | | US | |
| 10/30/9
10/30/9 | F1
F1 | 22 | | | | | CRUDE
CRUDE | | 07 | 79.96
79.98 | | US
US | |
| 10/30/9 | F1 | 75 | _ | DEC 0 | | | | | 07 | 79.98 | | US | |
| 10/30/9 | F1 | | 10 | DEC 0 | | | | | 07 | 79.98 | | US | |
| 10/30/9 | F1 | 16
3,390
13
31
26
20
22
75
12
26
5
5
4 | 10 | | | | CRUDE | | 07 | 80.00 | | US | |
| 10/30/9 | F1 | 12 | 20 | DEC 0 | | | | | 07 | 80.00 | | US | |
| 10/30/9 | F1 | 26 | 20 | | | | CRUDE | | 07 | 80.00 | | US | |
| 10/30/9 | F1 | 5 | | | | | CRUDE | | 07 | 80.02 | | US | |
| 10/30/9 | F1 | 5 | | | | | | | 07 | 80.04 | | US | |
| 10/30/9 | F1 | 3 | 4 | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 80.04 | | US | |
| 10/30/9 | F1 | 4 | - | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 80.05 | | US | |
| 10/30/9 | F1 | | 4 | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 80.07 | | US | |
| 10/30/9 | F1 | 2 | | | | | CRUDE | | 07 | 80.09 | | US | |
| 10/30/9 | F1 | | 7 | DEC 0 | | | | | 07 | 80.10 | | US | |
| 10/30/9 | F1 | | 2 | DEC 0 | 9 NYM | LT | CRUDE | | 07 | 80.11 | | US | |
| 10/30/9 | F1 | | 1 | DEC 0 | 9 NYM | $_{ m LT}$ | CRUDE | | 07 | 80.16 | | US | |
| 10/30/9 | F1 | | 1 | DEC 0 | 9 NYM | $_{ m LT}$ | CRUDE | | 07 | 80.17 | | US | |
| | F1 | 5,687* | 5,687* | | | | | GROSS | PRO | OFIT OR LO | SSC | US | 8,679,620.00DR |
| | F1 | 22
3
61
100
6
163
3,000
1,135 | | | | | NET I | PROFIT OR I | OSS | FROM TRAI | DES | US | 8,679,620.00DR |
| 10/26/9 | F1 | 22 | | JAN 1 | 0 NYM | LT | CRUDE | | 07 | 82.12 | | US | |
| 10/26/9 | F1 | 3 | | JAN 1 | 0 NYM | LT | CRUDE | | 07 | 82.13 | | US | |
| 10/29/9 | F1 | 61 | | JAN 1 | MYM 0 | LT | CRUDE | | 07 | 77.98 | | US | |
| 10/29/9 | F1 | 100 | | JAN 1 | 0 NYM | LT | CRUDE | | 07 | 77.99 | | US | |
| 10/29/9 | F1 | 6 | | JAN 1 | 0 NYM | LT | CRUDE | | 07 | 78.01 | | US | |
| 10/29/9 | F1 | 163 | | JAN 1 | 0 NYM | LT | CRUDE | | 0.7 | 78.02 | | US | |
| 10/30/9 | F1 | 3,000 | | JAN 1 | 0 NYM | LT | CRUDE | | 0.7 | 77.64 | | US | |
| 10/30/9 | F1 | 1,135 | 1 105 | JAN I | U NYM | LT | CRUDE | | 0.7 | 77.64 | | US | |
| 10/30/9 | F1 | | 1,105 | JAN I | O NYM | LT | CRUDE | | 07 | 77.64 | | US | |
| 10/30/9 | F1 | | 10 | JAN I | U NYM | LT | CRUDE | | 0.7 | 80.43 | | US | |
| 10/30/9 | F1 | | 40 | JAN 1 | O NYM | LT | CRUDE | | 07 | 80.44
80.45 | | US | |
| 10/30/9
10/30/9 | F1 | | 20 | JAN 1 | O NYM | тш | CRUDE | | 07 | 80.45 | | US
US | |
| 10/30/9 | F1 | | 70 | JAN 1 | O NYM | LT | CRUDE | | 07 | 80.46 | | US | |
| 10/30/9 | F1
F1 | | 100 | JAN 1 | O MAM | T.T | CRUDE | | 07 | 80.47 | | US | |
| 10/30/9 | F1 | | 75 | JAN 1 | | | CRUDE | | 07 | 80.49 | | US | |
| 10/30/9 | F1 | | 1 680 | JAN 1 | | | CRUDE | | 07 | 80.50 | | US | |
| 10/30/9 | F1 | | 1 300 | JAN 1 | | | CRUDE | | 07 | 80.51 | | US | |
| 10/00/0 | F1 | 1,135
4,490* | 4,490* | OTHA T | O 14114 | | تررن | GRUGG | | OFIT OR L | 755 | | 9,449,820.00 |
| | F1 | 1,150 | 1,100 | | | | NET F | PROFIT OR I | | | | | 9,449,820.00 |
| | | | | | | | | | | | | | 5,115,020.00 |
| 10/30/9 | F1 | 49 | | DEC 0 | 9 NATU | JRA1 | L GAS | | 07 | 5.044 | | US | |

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STATEMENT DATE: OCT 30, 2009

30 S. WACKER DRIVE - SUITE 2020

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING WI 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

PAGE 8 TRADE SETTLE AT BUY SELL CONTRACT DESCRIPTION EX TRAD PRIC CC DEBIT/CREDIT 49 DEC 09 NATURAL GAS 07 5.046 US 49* GROSS PROFIT OR LOSS US F1 49* 980.00 NET PROFIT OR LOSS FROM TRADES US 980.00 F1 10/30/9 F2 5 DEC 09 ICE WTI CRUDE 5 DEC 09 ICE WTI CRUDE 10/30/9 F2 19 10/30/9 F2 30 DEC 09 ICE WTI CRUDE 19 79.93 US 30 DEC 09 ICE WTI CRUDE 10/30/9 F2 79.93 10/30/9 F2 1 DEC 09 ICE WTI CRUDE 19 79.94 US 10/30/9 F2 1 DEC 09 ICE WTI CRUDE 19 79.94 US 10/30/9 F2 4 DEC 09 ICE WTI CRUDE 19 79.96 IIS 10/30/9 F2 3 DEC 09 ICE WTI CRUDE 19 79.99 US DEC 09 ICE WTI CRUDE 10/30/9 F2 GROSS PROFIT OR LOSS US 19 80.00 US 1 80.00DR F2 40* NET PROFIT OR LOSS FROM TRADES US 80.00DR F2 THE FOLLOWING JOURNAL ENTRIES HAVE BEEN POSTED TO YOUR ACCOUNT. CC DEBIT/CREDIT AТ JOURNAL DESCRIPTION -----10/30/9 F1 TRF FR F2 TO F1 US 10.000.00 10/30/9 F2 TRF FR F2 TO F1 US 10,000.00DR MEMO OPTIONS OFFSETTING INFORMATION. AΤ EX PRICE CC DEBIT/CREDIT TRADE SETL RIIY SELL CONTRACT DESCRIPTION _____ PUT DEC 09 NYM NAT GAS E 4500 07 .0900 US
PUT DEC 09 NYM NAT GAS E 4500 07 .0670 US
PUT DEC 09 NYM NAT GAS E 4500 07 .1050 US 50 10/09/9 F1 45,000.00DR PUT DEC 09 NYM NAT GAS E 4500 07 .0625 US
PUT DEC 09 NYM NAT GAS E 4500 07 .1050 US
PUT DEC 09 NYM NAT GAS E 4500 07 .0625 US
PUT DEC 09 NYM NAT GAS E 4500 07 .0800 US
PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US
PUT DEC 09 NYM NAT GAS E 4500 07 .0950 US 25 10/12/9 F1 16,750.00DR 10/13/9 F1 75 78,750.00DR 10/16/9 F1 100 62,500.00DR 10/30/9 F1 125 100,000.00 10/30/9 F1 75 71,250.00 10/30/9 50 50,000.00 F1 GROSS DEBIT OPTION PREMIUM
GROSS CREDIT OPTION PREMIUM 250* 203,000.00DR 221,250.00 NET MEMO OPTION PREMIUM O P E N POSITIONS TRADE SETLLE AT LONG CONTRACT DESCRIPTION EX PRICE CC 10/14/9 1 DEC 10 CBT CORN 01 4.20 3/4 US 450.00 F1 CLOSE 4.11 3/4 450.00 AVERAGE SHORT: 4.207 10/12/9 5 DEC 09 GOLD-COMEX 04 1053.20 US 6,400.00DR DEC 09 GOLD-COMEX 12,600.00DR 5 04 1065.60 US 10/14/9 F1 10* CLOSE 1040.40 19.000.00DR AVERAGE LONG: 1059.400 07 07 10/29/9 F1 25 DEC 09 NYM LT CRUDE 80.10 US 77,500.00 10/29/9 F1 3 DEC 09 NYM LT CRUDE 80 12 US 9.360.00 28* CLOSE 86,860.00 77.00

AVERAGE SHORT: 80.102

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING WE
140 W BROADWAY, 38TH FLOOR
NEW YORK NY 10013
ATTN CARY KRUMHOLTZ
ATTN EMIL DONTCHEV

PAGE 9 TRADE SETLLE ΑT LONG SHORT CONTRACT DESCRIPTION EX PRICE CC DEBIT/CREDIT 10/21/9 100 CALL DEC 09 NYM LT CRUDE 8000 07 US 160,000.00DR 34.3-DQ .343EX-11/17/09 CLOSE 160,000.00DR AVERAGE SHORT: 4.500 10/21/9 100 CALL DEC 09 NYM LT CRUDE 8500 07 2.30 57,000.00 100* 14.3 DQ .143EX-11/17/09 CLOSE .57 57,000.00 AVERAGE LONG: 2.300 10/21/9 F1 100 CALL DEC 09 NYM LT CRUDE 9000 07 1.16 US 19,000.00DR 100* 5.0-DQ .050EX-11/17/09 CLOSE 19,000.00DR .19 AVERAGE SHORT: 1.160 10/29/9 4,180.00DR F1 JAN 10 NYM LT CRUDE 07 78.02 US 11 11* 4,180.00DR CLOSE 77.64 AVERAGE LONG: 78.020 10/30/9 100 PUT DEC 09 NYM NAT GAS E 4000 07 .0325 US 32,500.00DR F1 100* 7.3 DQ .073EX-11/23/09 CLOSE 32.500.00DR .0325 AVERAGE SHORT: .032 PUT DEC 09 NYM NAT GAS E 5250 07 .1375 215.150.00 10/20/9 50 US F1 50* 28.9-DQ .577EX-11/23/09 CLOSE AVERAGE LONG: .137 .4303 215,150.00 .137 .035 10/09/9 F1 50 PUT DEC 09 NYM HH NAT GS 4000 07 US 16,000.00 10/09/9 F1 50 PUT DEC 09 NYM HH NAT GS 4000 07 .038 US 16,000.00 7.2-DQ .072EX-11/23/09 CLOSE 100* .032 32,000.00 .036 AVERAGE LONG: 9/24/9 F1 100 PUT DEC 09 NYM WTI 1 M -125 07 .14 US 6,000.00DR 18.5 DQ .185EX-11/19/09 CLOSE .06 6,000.00DR AVERAGE SHORT: .140 10/15/9 20 DEC 09 IMM EURO FX 14.8700 36,000.00DR 20* CLOSE 14.7260 36,000.00DR AVERAGE LONG: 14.870 10/26/9 F1 DEC 09 MINI S&P 500 16 1063.75 7,687.50 16 1066.25 DEC 09 MINI S&P 500 16,625.00 15* CLOSE 1033.00 24,312.50 AVERAGE SHORT: 1065.416 10/07/9 F2 DEC 09 ICE WTI CRUDE 19 69.88 14,240.00 US 1 10/19/9 F2 DEC 09 ICE WTI CRUDE 19 79.07 US 2,070.00DR DEC 09 ICE WTI CRUDE 10/19/9 F2 1 19 79.28 2,280.00DR US 10/30/9 F2 2 DEC 09 ICE WTI CRUDE 19 80.00 US 6,000.00DR CLOSE 77.00 3,890.00 76.351 AVERAGE LONG: ** US DOLLARS ** ** US NON-REG\$ ** ** REG J-YEN ** **CONVERTED TOTAL** 2,012,839.86DR 5,115,548.03 BEGINNING BALANCE 5,000 3,102,763,45 1,990.50DR 4.10DR COMMISSION 0 1,994.60DR 28,872.09DR CLEARING FEES 28,862.25DR 9.84DR 0 57.40DR 57.40DR EXCHANGE FEES .00 Ω EXECUTION FEES 50.00DR .00 0 50.00DR

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING WB
140 W BROADWAY, 38TH FLOOR
NEW YORK NY 10013
ATTN CARY KRUMHOLTZ
ATTN EMIL DONTCHEV

PAGE 10

| | ** US DOLLARS ** | ** US NON-REG\$ ** * | ** REG J-YEN ** | **CONVERTED TOTAL** |
|--------------------------------|------------------|----------------------|-----------------|---------------------|
| BROKERAGE FEES | 8,895.25DR | .00 | 0 | 8,895.25DR |
| NFA FEES | 199.05DR | .00 | 0 | 199.05DR |
| TOTAL FEES | 38,006.55DR | 67.24DR | 0 | 38,073.79DR |
| GROSS PROFIT OR LOSS | 771,180.00 | 80.00DR | 0 | 771,100.00 |
| OPTION PREMIUM | 253,750.00 | .00 | 0 | 253,750.00 |
| NET PROFIT/LOSS FROM TRADES | 984,932.95 | 151.34DR | 0 | 984,781.61 |
| NET MEMO OPTION PREMIUM | 18,250.00 | .00 | 0 | 18,250.00 |
| CASH AMOUNTS | 10,000.00 | 10,000.00DR | 0 | .00 |
| ENDING BALANCE | 6,110,480.98 | 2,022,991.20DR | 5,000 | 4,087,545.06 |
| | | | | |
| OPEN TRADE EQUITY | 52,442.50 | 3,890.00 | 0 | 56,332.50 |
| TOTAL EQUITY | 6,162,923.48 | 2,019,101.20DR | 5,000 | 4,143,877.56 |
| NET MARKET VALUE OF OPTIONS | 86,650.00 | .00 | 0 | 86,650.00 |
| ACCOUNT VALUE AT MARKET | 6,249,573.48 | 2,019,101.20DR | 5,000 | 4,230,527.57 |
| INITIAL MARGIN REQUIREMENT | 861,633.75 | 33,000.00 | 0 | 894,633.75 |
| MAINTENANCE MARGIN REQUIREMENT | 616,415.00 | 33,000.00 | 0 | 649,415.00 |
| EXCESS EQUITY | 5,301,289.73 | .00 | 5,000 | 5,301,345.01 |
| MARGIN DEFICIT | .00 | 2,052,101.20DR | 0 | 2,052,101.20DR |
| MTD COMM. | 22,850.15DR | 228.50DR | 0 | 23,078.65DR |
| CURRENCY CONVERSION RATE TO US | 1.00000000 | 1.0000000 | .01105705 | .00000000 |
| CONVERTED ACCOUNT VALUE AT MKT | 6,249,573.48 | 2,019,101.20DR | 55.29 | 4,230,527.57 |

ADVAN (2015) 11:452-04-08791-CM-GWG Document 54-1201 Files: 01/29/1246 Page 41 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING II 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| * * * * | * * | * * * | O P E I | N POSITION | 1 S | * * * | * * | * * * * * |
|------------------|----------|-----------|---------|--|--------|----------------|-----|--------------------|
| TRADE SETLLE | AT | LONG | SHORT | CONTRACT DESCRIPTION | EX | PRICE | CC | DEBIT/CREDIT |
| 2/24/9
2/27/9 | F1
F1 | 575
50 | | | 100 04 | 52.60
45.00 | US | 5,750.00
500.00 |
| 2/21/9 | FI | 625* | | EX-11/23/09 AVERAGE LONG: 51.992 | | .10 | 05 | 6,250.00 |
| 2/24/9 | F1 | | 1,050 | | 00 04 | 43.00 | US | 10,500.00DR |
| 2/27/9 | F1 | | 50 | | 00 04 | 37.00 | US | 500.00DR |
| | | | 1,100* | EX-11/23/09
AVERAGE SHORT: 42.727 | CLOSE | .10 | | 11,000.00DR |
| 2/24/9 | F1 | 475 | | CALL DEC 09 CMX GOLD 16 | 00 04 | 35.50 | US | 4,750.00 |
| | | 475* | | EX-11/23/09 | CLOSE | .10 | | 4,750.00 |
| | | | | AVERAGE LONG: 35.500 | | | | |
| 5/28/9 | F1 | 500 | | CALL DEC 09 NYM LT CRUDE 80 | 00 07 | 2.81 | US | 800,000.00 |
| | | 500* | | 171.5 DQ .343EX-11/17/09
AVERAGE LONG: 2.810 | CLOSE | 1.60 | | 800,000.00 |
| 5/28/9 | F1 | | 300 | CALL DEC 09 NYM LT CRUDE 85 | 00 07 | 1.81 | US | 171,000.00DR |
| 5/28/9 | F1 | | 350 | | 00 07 | 1.81 | US | 199,500.00DR |
| 6/02/9 | F1 | | 50 | | 00 07 | 2.50 | US | 28,500.00DR |
| 6/05/9 | F1 | | 150 | | 00 07 | 2.80 | US | 85,500.00DR |
| 6/05/9 | F1 | | 50 | | 00 07 | 2.85 | US | 28,500.00DR |
| | | | 900* | 128.9-DQ .143EX-11/17/09
AVERAGE SHORT: 2.071 | CLOSE | .57 | | 513,000.00DR |
| 5/28/9 | F1 | 500 | | CALL DEC 09 NYM LT CRUDE 90 | 00 07 | 1.17 | US | 95,000.00 |
| | | 500* | | 25.0 DQ .050EX-11/17/09
AVERAGE LONG: 1.170 | CLOSE | .19 | | 95,000.00 |
| 10/20/9 | F1 | | 50 | PUT DEC 09 NYM NAT GAS E 52 | 250 07 | .1400 | US | 215,150.00DR |
| | | | 50* | 28.9 DQ .577EX-11/23/09 | CLOSE | .4303 | | 215,150.00DR |
| | | | | AVERAGE SHORT: .140 | | | | |

| | * * | US DOLLARS ** |
|--------------------------------|-----|----------------|
| BEGINNING BALANCE | | 1,922,167.33DR |
| ENDING BALANCE | | 1,922,167.33DR |
| | | |
| TOTAL EQUITY | | 1,922,167.33DR |
| NET MARKET VALUE OF OPTIONS | | 166,850.00 |
| ACCOUNT VALUE AT MARKET | | 1,755,317.33DR |
| | | |
| INITIAL MARGIN REQUIREMENT | | 699,735.00 |
| MAINTENANCE MARGIN REQUIREMENT | | 464,250.00 |
| MARGIN DEFICIT | | 2,621,902.33DR |
| MTD COMM. | | 15.00DR |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - TRADING III 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

| * * * * | * * * | * * | O P E N | POSITIO | N S | * * * | * * * * | * * |
|----------------|----------------|------|--------------|--------------------|-----------|--------------|---------------|---------|
| TRADE SETLLE | AT LONG | G | SHORT CO | NTRACT DESCRIPTION | EX | PRICE (| CC DEBIT/CRED | IT |
| 10/19/9 | F1 | | 50 DEC | 09 NYM LT CRUDE | 07 | 79.02 t | JS 101,0 | 00.00 |
| 10/20/9 | F1 | | 75 DEC | 09 NYM LT CRUDE | 07 | 79.96 t | JS 222,0 | 00.00 |
| | | | 125* | | CLOSE | 77.00 | 323,0 | 00.00 |
| | | | AVE | RAGE SHORT: 79.584 | | | | |
| 10/19/9 | F1 | 50 | JAN | 10 NYM LT CRUDE | 07 | 79.49 t | JS 92,5 | 00.00DR |
| 10/20/9 | F1 | 75 | JAN | 10 NYM LT CRUDE | 07 | 80.52 t | JS 216,0 | 00.00DR |
| | | 125* | | | CLOSE | 77.64 | 308,5 | 00.00DR |
| | | | AVE | RAGE LONG: 80.108 | | | | |
| | | | | | | | | |
| | | ** U | DOLLARS ** | | **CONVERT | ED TOTAL** | | |
| BEGINNING BAL | | | 95,228.56DR | | | 84,497.60DE | | |
| ENDING BALANCI | Ε | | 95,228.56DR | 10,730.96 | | 84,497.60DE | 8 | |
| OPEN TRADE EQU | UITY | | 14,500.00 | .00 | | 14,500.00 | | |
| TOTAL EQUITY | | | 80,728.56DR | 10,730.96 | | 69,997.60DE | } | |
| ACCOUNT VALUE | AT MARKET | | 80,728.56DR | 10,730.96 | | 69,997.60DE | 2 | |
| INITIAL MARGI | N REQUIREMENT | | 316,406.25 | .00 | | 316,406.25 | | |
| MAINTENANCE MA | ARGIN REQUIREM | ENT | 234,375.00 | .00 | | 234,375.00 | | |
| EXCESS EQUITY | | | .00 | 10,730.96 | | 10,730.96 | | |
| MARGIN DEFICI | Γ | | 397,134.81DR | | | 397,134.81DF | | |
| MTD COMM. | | | 75.00DR | 5.00DR | ! | 80.00DF | ₹ | |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

BES CAPITAL LLC - TRADING 4 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

** US DOLLARS **

BEGINNING BALANCE 517,746.23DR ENDING BALANCE 517,746.23DR

TOTAL EQUITY 517,746.23DR ACCOUNT VALUE AT MARKET 517,746.23DR

MARGIN DEFICIT 517,746.23DR MTD COMM. 50

STATEMENT DATE: OCT 30, 2009

30 S. WACKER DRIVE - SUITE 2020

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - GRAINS 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

THE FOLLOWING TRADES HAVE BEEN MADE THIS DAY FOR YOUR ACCOUNT AND RISK.

| TRADE SETL | AT | | | CONTRACT DESCRIPT | | | TRAD PRIC | | DEBIT/CREDIT |
|------------|----------|-----|-----|--|-------|------|-------------|-----|------------------|
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT | | 01 | 4.88 | | |
| 10/30/9 | F1 | 2 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 4.89 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT | | 01 | 4.89 1/2 | US | |
| 10/30/9 | F1 | 10 | | E-CBOT TRADE
DEC 09 CBT WHEAT
E-CBOT TRADE
DEC 09 CBT WHEAT | | 01 | 4.90 1/2 | US | |
| 10/30/9 | F1 | | | E CDOT TDADE | | 01 | 5.05 1/4 | US | |
| 10/30/9 | F1 | | 2 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.05 1/2 | US | |
| 10/30/9 | F1 | | 9 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.05 3/4 | US | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.06 | US | |
| 10/30/9 | F1 | | 4 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.06 1/4 | US | |
| 10/30/9 | F1 | | 5 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.07 1/4 | US | |
| 10/30/9 | F1 | 3 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.07 3/4 | US | |
| 10/30/9 | F1 | | 3 | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.07 3/4 | US | |
| 10/30/9 | F1 | 4 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.08 1/4 | US | |
| 10/30/9 | F1 | 15 | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.08 1/2 | US | |
| 10/30/9 | F1 | | | DEC 09 CBT WHEAT
E-CBOT TRADE | | 01 | 5.08 1/2 | US | |
| 10/30/9 | F1 | 1 | | DEC 09 CBT WHEAT E-CBOT TRADE | | 01 | 5.08 3/4 | US | |
| 10/30/9 | F1 | 5 | | DEC 09 CBT WHEAT E-CBOT TRADE | | 01 | 5.09 | US | |
| 10/30/9 | F1 | | 6 | DEC 09 CBT WHEAT E-CBOT TRADE | | 01 | 5.09 | US | |
| | F1 | 47* | 39* | | | | COMMISSION | US | 4.30DR |
| | F1 | | | AVERAGE LONG: | 5.027 | CLI | EARING FEES | US | 5.16DR |
| | F1 | | | AVERAGE SHORT: | | EXC | CHANGE FEES | IIS | 150.50DR |
| | F1 | | | TIVERENCE SHORT | 3.071 | D21. | NFA FEES | | .86DR |
| 10/30/9 | F1 | | 1 | DEC 09 KC WHEAT | | 08 | 4.95 3/4 | US | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | | 4.96 1/4 | | |
| 10/30/9 | F1 | | | DEC 09 KC WHEAT | | | 4.97 3/4 | | |
| 10/30/9 | F1 | 1 | | DEC 09 KC WHEAT | | 08 | 5.13 1/2 | | |
| 10/00/0 | F1 | 1* | 14* | | | 00 | COMMISSION | | 2.25DR |
| | F1 | _ | 11 | AVERAGE LONG: | 5 135 | CT.1 | EARING FEES | | 1.50DR |
| | F1 | | | AVERAGE SHORT: | | | CHANGE FEES | | 25.50DR |
| | F1
F1 | | | AVERAGE DRUKI. | 7.213 | EA(| NFA FEES | | 25.50DR
.15DR |
| | F1 | | | | | | | | |
| | ЬT | | | | | | BROKERAGE | OS | 1.50DR |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

BES CAPITAL LLC - GRAINS 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ

ATTN EMIL DONTCHEV

PAGE 2

| TRADE SETTLE AT BUY SELL CONTRACT DESCRIPTION EX TRAD FRIC CC DEBIT/CREDIT |
|--|
| 10/30/9 |
| 10/30/9 |
| 10/30/9 |
| 10/30/9 |
| 10/30/9 F1 2 DEC 09 CET WHEAT 01 5.05 1/4 US 10/30/9 F1 5 DEC 09 CET WHEAT 01 5.05 1/2 US 10/30/9 F1 5 DEC 09 CET WHEAT 01 5.05 1/4 US 10/30/9 F1 5 DEC 09 CET WHEAT 01 5.06 1/4 US 10/30/9 F1 5 DEC 09 CET WHEAT 01 5.06 1/4 US 10/30/9 F1 5 DEC 09 CET WHEAT 01 5.06 1/4 US 10/30/9 F1 3 DEC 09 CET WHEAT 01 5.06 1/4 US 10/30/9 F1 3 DEC 09 CET WHEAT 01 5.07 1/4 US 10/30/9 F1 3 DEC 09 CET WHEAT 01 5.07 3/4 US 10/30/9 F1 3 DEC 09 CET WHEAT 01 5.07 3/4 US 10/30/9 F1 4 DEC 09 CET WHEAT 01 5.07 3/4 US 10/30/9 F1 13 DEC 09 CET WHEAT 01 5.08 1/4 US 10/30/9 F1 13 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 1 1 DEC 09 KC WHEAT 01 5.08 1/2 US 10/30/9 F1 1 1 DEC 09 KC WHEAT 01 5.08 1/2 US 10/30/9 F1 1 1 DEC 09 KC WHEAT 01 5.08 1/2 US 10/30/9 F1 1 1 DEC 09 KC WHEAT 01 5.08 1/2 US 10/30/9 F1 1 1 DEC 09 KC WHEAT 01 5.08 1/2 US 10/30/9 F1 1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 10/30/9 F1 1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 10/30/9 F1 1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 US 10/30/9 F1 DEC 09 CET WHEAT 01 5.08 1/2 |
| 10/30/9 |
| 10/30/9 |
| 10/30/9 |
| 10/30/9 F1 3 DEC 09 CBT WHEAT 01 5.07 1/4 US 10/30/9 F1 3 DEC 09 CBT WHEAT 01 5.07 3/4 US 10/30/9 F1 4 DEC 09 CBT WHEAT 01 5.07 3/4 US 10/30/9 F1 4 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 B DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 B DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 39* 39* GROSS PROFIT OR LOSS US 11,125.00 10/30/9 F1 1 1 DEC 09 KC WHEAT 01 5.08 1/2 US 11,125.00 10/30/9 F1 1 1 DEC 09 KC WHEAT 01 5.08 1/2 US 11,125.00 10/30/9 F1 1 1 DEC 09 KC WHEAT 08 4.95 3/4 US 11,125.00 10/30/9 F1 1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 11,125.00 10/30/9 F1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 11,125.00 10/30/9 F1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 11,125.00 10/30/9 F1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 11,125.00 10/30/9 F1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 11,125.00 10/30/9 F1 1 DEC 09 KC WHEAT 08 5.13 1/2 US 12,337.50 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 12,337.50 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBT WHEAT 01 5.08 US 11,425.00 10/30/9 F1 5 5 DEC 09 CBD-C |
| 10/30/9 F1 3 DEC 09 CBT WHEAT 01 5.07 3/4 US 10/30/9 F1 4 DEC 09 CBT WHEAT 01 5.07 3/4 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 PEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 1 |
| 10/30/9 F1 4 10 DEC 09 CBT WHEAT 01 5.07 3/4 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/4 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 13 DEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 39* 39* |
| 10/30/9 |
| 10/30/9 F1 39* BEC 09 CBT WHEAT 01 5.08 1/2 US 10/30/9 F1 39* BEC 09 CBT WHEAT 01 5.08 1/2 US 11/125.00 F1 39* BEC 09 CBT WHEAT 01 5.09 US 11/125.00 F1 39* BEC 09 CBT WHEAT 01 5.09 US 11/125.00 F1 39* BEC 09 CBT WHEAT 01 5.09 US 11/125.00 F1 1 39* BEC 09 KC WHEAT 01 5.09 US 11/125.00 F1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |
| 10/30/9 F1 39* 8 DEC 09 CBT WHEAT 01 5.08 1/2 US 11,125.00 F1 39* 39* 39* GROSS PROFIT OR LOSS FROM TRADES US 11,125.00 NET PROFIT OR LOSS FROM TRADES US 887.50DR NET PROFIT OR LOSS FROM TRAD |
| 10/30/9 |
| F1 |
| NET PROFIT OR LOSS FROM TRADES US |
| 10/30/9 F1 1 1 DEC 09 KC WHEAT 08 4.95 3/4 US F1 1 DEC 09 KC WHEAT 08 5.13 1/2 US F1 1* 1* DEC 09 KC WHEAT 08 5.13 1/2 US F1 1* 1* DEC 09 KC WHEAT 08 5.13 1/2 US 887.50DR NET PROFIT OR LOSS FROM TRADES US 887.50DR NET PROFIT OR LOSS FROM TRADES US 887.50DR TRADE SETLLE AT LONG SHORT CONTRACT DESCRIPTION EX PRICE CC DEBIT/CREDIT 9/15/9 F1 1 DEC 10 CBT CORN 01 3.65 US 2,337.50 AVERAGE LONG: 3.650 10/30/9 F1 2 DEC 09 CBT WHEAT 01 5.08 1/2 US 1,425.00DR 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 3/4 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR AVERAGE LONG: 5.08 US 3.687.50DR 5.837.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.08 1/2 US 3.687.50DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 10/30/9 F1 5 DEC 09 CBT |
| 10/30/9 |
| F1 |
| NET PROFIT OR LOSS FROM TRADES US 887.50 DR |
| * * * * * * * * * * * * * * * * * * * |
| TRADE SETLLE AT LONG SHORT CONTRACT DESCRIPTION EX PRICE CC DEBIT/CREDIT 9/15/9 F1 1 DEC 10 CBT CORN 01 3.65 US 2,337.50 AVERAGE LONG: 3.650 10/30/9 F1 2 DEC 09 CBT WHEAT 01 5.08 1/2 US 1,425.00DR 01/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 01/30/9 F1 05 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 01/30/9 F1 5 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 01/30/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 01/30/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 01/30/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 9/15/9 F1 1 DEC 10 CBT CORN 01 3.65 US 2,337.50 CLOSE 4.11 3/4 2,337.50 AVERAGE LONG: 3.650 10/30/9 F1 2 DEC 09 CBT WHEAT 01 5.08 1/2 US 1,425.00DR 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 8* CLOSE 4.94 1/4 5,837.50DR AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 9/15/9 F1 1 DEC 10 CBT CORN 01 3.65 US 2,337.50 CLOSE 4.11 3/4 2,337.50 AVERAGE LONG: 3.650 10/30/9 F1 2 DEC 09 CBT WHEAT 01 5.08 1/2 US 1,425.00DR 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 8* CLOSE 4.94 1/4 5,837.50DR AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 1* |
| AVERAGE LONG: 3.650 10/30/9 F1 2 DEC 09 CBT WHEAT 01 5.08 1/2 US 1,425.00DR 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 8* AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 10/30/9 F1 2 DEC 09 CBT WHEAT 01 5.08 1/2 US 1,425.00DR 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 8* CLOSE 4.94 1/4 5,837.50DR AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 10/30/9 F1 1 DEC 09 CBT WHEAT 01 5.08 3/4 US 725.00DR 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 8* CLOSE 4.94 1/4 5,837.50DR AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 10/30/9 F1 5 DEC 09 CBT WHEAT 01 5.09 US 3,687.50DR 8* CLOSE 4.94 1/4 5,837.50DR AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 8* AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| AVERAGE LONG: 5.088 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 10/12/9 F1 5 DEC 09 GOLD-COMEX 04 1056.90 US 8,250.00DR 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| 10/13/9 F1 5 DEC 09 GOLD-COMEX 04 1065.30 US 12,450.00DR |
| · |
| |
| 10/15/9 F1 5 DEC 09 GOLD-COMEX 04 1060.10 US 9,850.00DR |
| 10/16/9 F1 15 DEC 09 GOLD-COMEX 04 1052.60 US 18,300.00DR |
| 30* CLOSE 1040.40 48,850.00DR |
| AVERAGE LONG: 1056.683 |
| WEARD 2000 1000.000 |
| 10/30/9 F1 2 DEC 09 KC WHEAT 08 4.96 1/4 US 275.00DR |
| |
| 10/30/9 F1 2 DEC 09 KC WHEAT 08 4.96 1/4 US 275.00DR |

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30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - GRAINS 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

PAGE 3

| TRADE SETLLE | AT | LONG | SHORT | CONTRACT DESCRIPTION | EX | PRICE | CC | DEBIT/CREDIT |
|--------------|----|------|-------|------------------------|-------|---------|----|--------------|
| 10/16/9 | F1 | 5 | | DEC 09 MINI S&P 500 | 16 | | US | 11,625.00DR |
| 10/16/9 | F1 | 5 | | DEC 09 MINI S&P 500 | 16 | 1080.00 | US | 11,750.00DR |
| 10/16/9 | F1 | 5 | | DEC 09 MINI S&P 500 | 16 | 1081.00 | US | 12,000.00DR |
| | | 15* | | | CLOSE | 1033.00 | | 35,375.00DR |
| | | | | AVERAGE LONG: 1080.166 | | | | |

** US DOLLARS ** 381,336.88DR BEGINNING BALANCE COMMISSION 6.55DR CLEARING FEES 6.66DR 176.00DR EXCHANGE FEES 1.50DR BROKERAGE FEES NFA FEES 1.01DR 185.17DR TOTAL FEES 10,237.50 GROSS PROFIT OR LOSS NET PROFIT/LOSS FROM TRADES ENDING BALANCE 371,291.10DR OPEN TRADE EQUITY 88,687.50DR 459,978.60DR 459,978.60DR TOTAL EQUITY ACCOUNT VALUE AT MARKET 298,615.00 INITIAL MARGIN REQUIREMENT MAINTENANCE MARGIN REQUIREMENT 228,362.50 MARGIN DEFICIT 758,593.60DR MTD COMM. 1,754.40DR

ADVAN (0.00 Page 47 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

BES CAPITAL LLC - GRAIN OPTIONS 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

** US DOLLARS **
BEGINNING BALANCE 444,245.53
ENDING BALANCE 444,245.53

TOTAL EQUITY 444,245.53
ACCOUNT VALUE AT MARKET 444,245.53

EXCESS EQUITY 444,245.53
MTD COMM. 1.15DI

ADVAN (0.000 Page 48 of 48

30 S. WACKER DRIVE - SUITE 2020

STATEMENT DATE: OCT 30, 2009

CHICAGO, ILLINOIS 60606

(312) 756-6300

BES CAPITAL LLC - PI TRDG 140 W BROADWAY, 38TH FLOOR NEW YORK NY 10013 ATTN CARY KRUMHOLTZ ATTN EMIL DONTCHEV

** US DOLLARS **

BEGINNING BALANCE 5,981.00 ENDING BALANCE 5,981.00

TOTAL EQUITY 5,981.00 ACCOUNT VALUE AT MARKET 5,981.00

EXCESS EQUITY 5,981.00 MTD COMM. 221.15DR